

DENISE VOIGT CRAWFORD
SECURITIES COMMISSIONER



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State Securities Board

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JOSE ADAN TREVINO
MEMBER

SSB Docket No. 99-019

IN THE MATTER OF THE
AGENT REGISTRATION OF
JON GILBERT CALDERON

§
§
§

Order No. CAF-1348

TO: Jon Gilbert Calderon (CRD# 3007625)
3409 Guthrie
El Paso, Texas 79935

DISCIPLINARY ORDER REPRIMANDING AN AGENT

Be it remembered that Jon Gilbert Calderon ("Respondent") appeared before the Securities Commissioner of the State of Texas ("Securities Commissioner") and consented to the entry of this Order and the Findings of Fact and the Conclusions of Law contained herein.

FINDINGS OF FACT

1. Respondent has waived (a) Respondent's right to notice and hearing in this matter; (b) Respondent's right to appear and present evidence in this matter; (c) Respondent's right to appeal this Order; and (d) all other procedural rights granted to Respondent by The Securities Act, TEX. REV. CIV. STAT. ANN. art. 581-1 et seq. (Vernon 1964 & Supp. 1999) ("Texas Securities Act"), and the Administrative Procedure Act, TEX. GOV'T CODE ANN. § 2001.001 et seq. (Vernon Supp. 1999) ("Administrative Procedure Act").
2. Respondent was convicted of misdemeanor theft on or about June 26, 1989.
3. Respondent was charged with eight counts of misdemeanor theft by check on or about March 13, 1992. These charges were dismissed on or about July 15, 1992 after restitution was made by Respondent.
4. The Form U-4 application for registration requires applicants to disclose any misdemeanor charge or conviction involving wrongful taking of property.
5. Respondent has been registered with the Securities Commissioner as an agent of MetLife Securities, Inc., from on or about March 13, 1998, to the present. While

applying for registration with MetLife Securities, Inc., Respondent ~~failed~~ to disclose the misdemeanor charge and the misdemeanor conviction on his Form U-4 application for registration as required by that form. On or about August 3, 1998, Respondent filed an amended Form U-4 application with the Securities Commissioner to disclose the criminal charge and conviction.

6. Pursuant to § 101.2(c) of the Rules and Regulations of the State Securities Board ("Board Rules") certain forms required to be filed with the Securities Commissioner, including Form U-4, have been adopted as Board Rules.

CONCLUSIONS OF LAW

1. A failure to disclose information required by Form U-4 constitutes a violation of a Board Rule.
2. The foregoing rule violation constitutes a violation of Sections 14.A(6) and 14.A(7) of the Texas Securities Act, which are bases for the issuance of an order reprimanding an agent.
3. Pursuant to Section 23-1 of the Texas Securities Act, the foregoing violations of the Texas Securities Act and Board Rules constitute bases for the issuance of an order assessing an administrative fine against an agent.

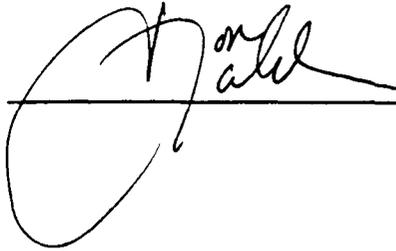
ORDER

1. It is therefore ORDERED that Respondent is hereby REPRIMANDED;
2. It is further ORDERED that Respondent is ASSESSED AN ADMINISTRATIVE FINE in the amount of Five Hundred Dollars (\$500.00). Payment ~~shall~~ be made by delivery of a cashier's check to the Securities Commissioner in the amount of Five Hundred Dollars (\$500.00), payable to the State of Texas, contemporaneously with the delivery of this Order.

SIGNED AND ENTERED BY THE SECURITIES COMMISSIONER this 1st day of March, 1999.


DENISE VOIGT CRAWFORD
Securities Commissioner

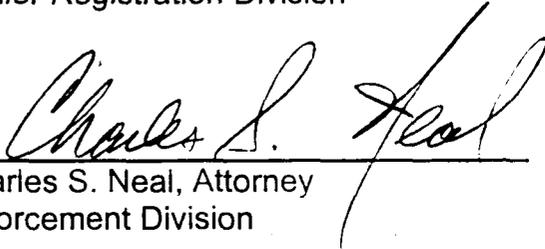
Respondent:
Jon Gilbert Calderon



Approved as to Form:



Michael S. Gunst, Director
Dealer Registration Division



Charles S. Neal, Attorney
Enforcement Division

ACKNOWLEDGMENT

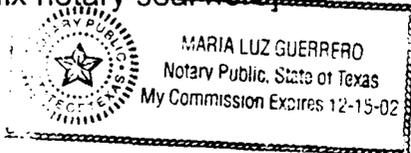
On the 23 day of February, 1999, Jon Gilbert Calderon, Respondent, personally appeared before me, executed the foregoing Order, and acknowledged that:

1. Respondent has read the foregoing Order;
2. Respondent has been fully advised of Respondent's rights under the Texas Securities Act and the Administrative Procedure Act;
3. Respondent knowingly and voluntarily consents to the entry of the foregoing Order and the Findings of Fact and Conclusions of Law contained therein; and,
4. Respondent, by consenting to the entry of the foregoing Order, has knowingly and voluntarily waived Respondent's rights as set forth therein.



Notary Public in and for
the State of TEXAS

[affix notary seal here]



My commission expires on:
12/15/02