

CASE NO. 2-09-344

COUNT Single

INCIDENT NO./TRN: 9126277921

THE STATE OF TEXAS

FILED FOR RECORD  
KAY McDANIEL, DISTRICT CLERK  
ROCKWALL COUNTY, TEXAS

382nd JUDICIAL DISTRICT COURT

v.

FEB 25 2011

IN AND FOR

CHAD DEWAYNE TAYLOR<sup>AM</sup> 7, 8, 9, 10, 11, 12, 1, 2, 3, 4, 5, 6

ROCKWALL COUNTY, TEXAS

STATE ID No.: TX08423028

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**ORDER IMPOSING**  
**CONDITIONS OF COMMUNITY SUPERVISION**

In accordance with the authority conferred by article 42.12 of the Code of Criminal Procedure, the Court has placed the Defendant on community supervision in the above styled and numbered cause for the offense of **SECURITIES W/O REGISTRATION** for a period of **TEN (10) year(s)**. The Court hereby **ORDERS** the Defendant to comply with the following conditions of community supervision:

1. Defendant shall commit no offense against the laws of this or any State or of the United States or any other Country. Defendant shall notify the Community Supervision Officer in charge of the case within forty-eight (48) hours of being arrested and/or charged with a criminal offense.
2. Defendant shall avoid injurious or vicious habits; abstain from the use of alcoholic beverages; abstain from the use of narcotic or habit forming drugs without a doctor's prescription.
3. Defendant shall avoid persons or places of disreputable or harmful character; do not associate with persons with felony criminal records, persons who possess, use, or sell narcotics or habit forming drugs; avoid places where narcotic or habit forming drugs are illegally possessed, sold or used, and places where alcoholic beverages are possessed, sold, or used.
4. Defendant shall report to the Community Supervision Officer on a monthly basis or as otherwise directed by the Supervising Officer in charge of the case.
5. Defendant shall permit the Community Supervision Officer to visit at their home or elsewhere.
6. Defendant shall work faithfully at suitable employment as far as possible.
7. Defendant shall not change employment or place of residence without the permission of the Community Supervision Officer.
8. Defendant shall remain within the supervising county, unless given permission to depart by the Community Supervision Officer in charge of the case.
9. Defendant shall support their dependents.
10. Defendant shall pay their fine, if one is assessed, and the costs of Court, in one or several sums, and make restitution in any sum the Court shall determine, to-wit:

\$ <u>282.09</u>	Court Costs (Due within 60 days of Judgment date)
\$ <u>5,000.00</u>	Fine
\$ _____	Restitution
\$ <u>25.00</u>	Local Crimestoppers Fee (due within 30 days of Judgment date)
\$ <u>25.00</u>	Time Payment Fee (due within 30 days of Judgment date)
\$ _____	Attorney Fee
\$ _____	Laboratory Fee
\$ <u>5,332.09</u>	Total

The above unpaid total is to be paid in payments of \$ 50<sup>00</sup> each month, until fully paid, to the Community Supervision and Corrections Department; the first monthly payment shall begin on **MAY 1, 2011**. Each monthly payment shall be made the last day of each month thereafter.

11. Defendant shall pay a \$50.00 per month community supervision fee to the Community Supervision and Corrections Department, beginning **MARCH 1, 2011**. Each monthly payment shall be made by the last day of each month thereafter.

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12. Defendant shall perform 120 hours of Community Service Restitution at a governmental, charitable, or non-profit organization as assigned by the Community Supervision Officer in charge of the case, at a rate of no less than 10 hours per month, beginning within thirty (30) days of today's date and be responsible for any costs of supervision.
13. Defendant shall submit urine, blood, breath, or saliva samples to the Community Supervision Officer in charge of their case at anytime requested, to be used for the detection of alcohol or drug usage. Defendant shall pay a fee of \$5.00 per month to the Community Supervision and Corrections Department to cover the cost of urinalysis testing. The first monthly payment shall begin **MARCH 1, 2011**. Each monthly payment shall be made by the last day of each month thereafter. Urinalysis fees shall be waived on out-of-county transfers.
14. Before leaving the State of Texas, the defendant shall deposit cash in the amount sufficient to cover the cost of extradition back to the State of Texas. This cash must be posted with the County Treasurer's Office before any transfer of supervision is authorized and shall be returned to the defendant upon termination of his supervision. This money will be used only for the purpose of extradition, should that need arise.
15. Attend and complete Alcohol/Drug Assessment Program within 45 days and follow all recommendations of that assessment; (COSTS TO BE PAID BY DEFENDANT)
16. Do not possess a firearm during the term of probation.
17. Attend and successfully complete a Theft Intervention Program within 180 days of this judgment as directed by the Rockwall County Community Supervision and Corrections Department.
18. Defendant shall not work in any field where a sales license is required.

The Defendant is hereby advised that, under the laws of the State of Texas, the Court shall determine the conditions of community supervision and may, at any time during the period of supervision, alter or modify the conditions of supervision. The Court also may extend the period of supervision and has the authority to revoke the community supervision at any time during the period of supervision for any violation of the conditions.

Signed on the 25<sup>th</sup> day of Feb., 2011.

John Nelms  
Judge Presiding

Receipt is hereby acknowledged on the date shown above of one copy of the above order.

[Signature]  
Defendant

[Signature]  
Community Supervision Officer

No. 2-09-344

Bond \$ \_\_\_\_\_

The State of Texas vs. **CHAD D. TAYLOR**  
W/M D.O.B. 06/20/1970

Charge: **Sale of Unregistered Securities; Art. 581, Sec. 29B V.T.C.S.**

382<sup>nd</sup> Judicial District Court  
Rockwall County, Texas

**IN THE NAME AND BY AUTHORITY OF THE STATE OF TEXAS:**

THE GRAND JURY of the County of Rockwall, State of Texas, duly selected, empanelled, sworn, charged, and organized as such at the July term, A.D., 2009, of the 382<sup>nd</sup> Judicial District Court of said county, upon their oaths present in and to said court at said term that

**CHAD D. TAYLOR**

hereinafter defendant, on or about August 4, 2006, and before the presentment of this indictment, in Rockwall County, Texas, knowingly and intentionally offered for sale and sold to Carl Edward Wilson a one-fourth (1/4) unit of interest in the Rock Wall Oil Company - Stingray-Fee #1 Joint Venture; and said interest is a security in the form of an investment contract and interest in and under oil and gas leases; and said security was issued after September 6, 1955, and said security was not then and there duly registered by and with the Securities Commissioner of the State of Texas and then and there no permit for the sale of said security had been granted by the Securities Commissioner of the State of Texas;

**COUNT TWO**

And the aforesaid Grand Jury upon their oaths do further present in and to said court at said term that said defendant, on or about August 28, 2006, and before the presentment of this indictment, in Rockwall County, Texas, knowingly and intentionally offered for sale and sold to Dr. James M. Severance a one-fourth (1/4) unit of interest in the Rock Wall Oil Company - Stingray-Fee #1 Joint

Venture; and said interest is a security in the form of an investment contract and interest in and under oil and gas leases; and said security was issued after September 6, 1955, and said security was not then and there duly registered by and with the Securities Commissioner of the State of Texas and then and there no permit for the sale of said security had been granted by the Securities Commissioner of the State of Texas;

### COUNT THREE

And the aforesaid Grand Jury upon their oaths do further present in and to said court at said term that said defendant, on or about September 15, 2006, and before the presentment of this indictment, in Rockwall County, Texas, knowingly and intentionally offered for sale and sold to Armin H. Gerhardt a one-half (1/2) unit in the Rock Wall Oil Company - Stingray-Fee #1 Joint Venture; and said interest is a security in the form of an investment contract and interest in and under oil and gas leases; and said security was issued after September 6, 1955, and said security was not then and there duly registered by and with the Securities Commissioner of the State of Texas and then and there no permit for the sale of said security had been granted by the Securities Commissioner of the State of Texas;

### COUNT FOUR

And the aforesaid Grand Jury upon their oaths do further present in and to said court at said term that said defendant, on or about November 29, 2006, and before the presentment of this indictment, in Rockwall County, Texas, knowingly and intentionally offered for sale and sold to Ralph Rod a one-half (1/2) unit of interest in the Rock Wall Oil Company - Arco #3 and Arco #4 Joint Venture; and said interest is a security in the form of an investment contract and interest in and under oil and gas leases; and said security was issued after September 6, 1955, and said security was not then and there duly registered by and with the Securities Commissioner of the State of Texas and then and there no

permit for the sale of said security had been granted by the Securities Commissioner of the State of Texas;

COUNT FIVE

~~And the aforesaid Grand Jury upon their oaths do further present in and to said court at said term that~~  
said defendant, on or about December 4, 2006, and before the presentment of this indictment, in Rockwall County, Texas, knowingly and intentionally offered for sale and sold to Robert L. Thesken a one-fourth (1/4) unit of interest in the Rock Wall Oil Company - Arco #3 and Arco #4 Joint Venture; and said interest is a security in the form of an investment contract and interest in and under oil and gas leases; and said security was issued after September 6, 1955, and said security was not then and there ~~duly registered by and with the Securities Commissioner of the State of Texas and then and there no~~ permit for the sale of said security had been granted by the Securities Commissioner of the State of Texas;

COUNT SIX

And the aforesaid Grand Jury upon their oaths do further present in and to said court at said term that the defendant, on or about January 19, 2007, and before the presentment of this indictment, in Rockwall County, Texas, knowingly and intentionally offered for sale and sold to Donald J. Oseroff a one-fourth (1/4) unit of interest in the Rock Wall Oil Company - Arco #3 and Arco #4 Joint Venture; and said interest is a security in the form of an investment contract and interest in and under oil and gas leases; and said security was issued after September 6, 1955, and said security was not then and there duly registered by and with the Securities Commissioner of the State of Texas and then and there no permit for the sale of said security had been granted by the Securities Commissioner of the State of Texas;

COUNT SEVEN

And the aforesaid Grand Jury upon their oaths do further present in and to said court at said term that said defendant, on or about November 1, 2007, and before the presentment of this indictment, in ~~Rockwall County, Texas, knowingly and intentionally offered for sale and sold to Reed L. Pedrick a~~ one-fourth (1/4) unit of interest in the Rock Wall Oil Company - Arco #3 and Arco #4 Joint Venture; and said interest is a security in the form of an investment contract and interest in and under oil and gas leases; and said security was issued after September 6, 1955, and said security was not then and there duly registered by and with the Securities Commissioner of the State of Texas and then and there no permit for the sale of said security had been granted by the Securities Commissioner of the State of Texas;

COUNT EIGHT

And the aforesaid Grand Jury upon their oaths do further present in and to said court at said term that said defendant, on or about January 25, 2008, and before the presentment of this indictment in Rockwall County, Texas, knowingly and intentionally offered for sale and sold to Jerry A. Camilli one (1) unit of interest in the Rock Wall Oil Company - Arco #3 and Arco #4 Joint Venture; and said interest is a security in the form of an investment contract and interest in and under oil and gas leases; and said security was issued after September 6, 1955, and said security was not then and there duly registered by and with the Securities Commissioner of the State of Texas and then and there no permit for the sale of said security had been granted by the Securities Commissioner of the State of Texas;

COUNT NINE

And the aforesaid Grand Jury upon their oaths do further present in and to said court at said term that said defendant, on or about February 4, 2008, and before the presentment of this indictment in Rockwall County, Texas, knowingly and intentionally offered for sale and sold to Jerry A. Camilli a

one-half (1/2) unit of interest in the Rock Wall Oil Company - Arco #3 and Arco #4 Joint Venture; and said interest is a security in the form of an investment contract and interest in and under oil and gas leases; and said security was issued after September 6, 1955, and said security was not then and there ~~duly registered by and with the Securities Commissioner of the State of Texas and then and there no~~ permit for the sale of said security had been granted by the Securities Commissioner of the State of Texas;

#### COUNT TEN

And the aforesaid Grand Jury upon their oaths do further present in and to said court at said term, that said defendant, on or about February 8, 2008, and before the presentment of this indictment, in ~~Rockwall County, Texas, knowingly and intentionally offered for sale and sold to Pamp Maiers a one-~~ half (1/2) unit of interest in the Rock Wall Oil Company - Arco #3 and Arco #4 Joint Venture; and said interest is a security in the form of an investment contract and interest in and under oil and gas leases; and said security was issued after September 6, 1955, and said security was not then and there duly registered by and with the Securities Commissioner of the State of Texas and then and there no permit for the sale of said security had been granted by the Securities Commissioner of the State of Texas;

#### COUNT ELEVEN

And the aforesaid Grand Jury upon their oaths do further present in and to said court at said term that said defendant, on or about March 13, 2008, and before the presentment of this indictment, in Rockwall County, Texas, knowingly and intentionally offered for sale and sold to Pamp Maiers a one-half (1/2) unit of interest in the Rock Wall Oil Company - Arco #3 and Arco #4 Joint Venture; and said interest is a security in the form of an investment contract and interest in and under oil and gas leases; and said security was issued after September 6, 1955, and said security was not then and there

duly registered by and with the Securities Commissioner of the State of Texas and then and there no permit for the sale of said security had been granted by the Securities Commissioner of the State of Texas;

Against the peace and dignity of the State.

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*Cathy McCallin*  
FOREMAN OF THE GRAND JURY

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