

JOHN MORGAN
SECURITIES COMMISSIONER



RONAK V. PATEL
DEPUTY SECURITIES COMMISSIONER

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Texas State Securities Board

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BETH ANN BLACKWOOD
CHAIR

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MEMBER

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MEMBER

MIGUEL ROMANO, JR.
MEMBER

IN THE MATTER OF THE
APPLICATION THE INVESTMENT
ADVISER REPRESENTATIVE
REGISTRATION OF JETMIR AHMETI

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§
§

Order No. REG15-SUS-02

TO: Jetmir Ahmeti (CRD No. 5568499)
Ahmeti Wealth Management, LLC
635 Alexandra Ave
Richardson, TX 75081

DISCIPLINARY ORDER

Be it remembered Jetmir Ahmeti ("Respondent") appeared before the Securities Commissioner of the State of Texas ("Securities Commissioner") and consented to the entry of this order ("Order"), the Undertaking incorporated by reference herein, and the Findings of Facts and the Conclusions of Law contained herein.

FINDINGS OF FACT

1. Respondent has waived (a) Respondent's right to notice and hearing in this matter; (b) Respondent's right to appear and present evidence in this matter; (c) Respondent's right to appeal this Order; and (d) all other procedural rights granted to the Respondent by The Securities Act, Tex. Rev. Civ. Stat. Ann. arts. 581-1 to 581-43 (West 2010 & Supp. 2014)("Texas Securities Act"), and the Administrative Procedure Act, Tex. Gov't Code Ann. §§ 2001.001 to 2001.902 (West 2008 & Supp. 2014)("Administrative Procedure Act").
2. From on or about August 25, 2008 to January 26, 2009 Respondent was registered with the Securities Commissioner as an investment adviser representative and agent of AXA Advisors, LLC.
3. From on or about September 21, 2009 to May 11, 2015 Respondent was registered with the Securities Commissioner as an investment adviser representative and agent of Edward Jones.

4. On or about May 1, 2015 Respondent was terminated by Edward Jones for opening fourteen (14) accounts without speaking to the potential account owners. One of the accounts opened was for a deceased person.
5. On or about June 6, 2015 Respondent submitted an application for registration with the Securities Commissioner as an investment adviser representative. This application is currently pending.

Felony Charge

6. On or about November 3, 2014 Respondent was charged with a violation of Section 22.01 of the Texas Penal Code, a third degree felony.
7. Respondent did not disclose to his firm that he was charged with a felony as required by the firm's written supervisory policies and procedures.
8. Pursuant to §115.9(a)(2) of the Rules and Regulations of the State Securities Board (the "Board Rules") a person registered as an agent shall report to the Securities Commissioner within thirty (30) days after any felony criminal action against the agent.
9. Pursuant to §116.9(a)(2) of the Rules and Regulations of the State Securities Board (the "Board Rules") a person registered as an investment adviser representative shall report to the Securities Commissioner within thirty (30) days after any felony criminal action against the agent.
10. Agents and investment adviser representatives must file a Form U4 with the Securities Commissioner, and are obligated to continue to amend and update information required by the Form U4 with the Securities Commissioner.
11. Item 14 of the Form U4 requires the disclosure of whether the individual has been charged with a felony.
12. Respondent did not disclose the felony charge on his Form U4, to inform the Securities Commissioner that he had been charged with a felony, within thirty (30) days of the criminal action taking place.
13. Furthermore, Respondent did not disclose the felony charge on his Form U4, to inform the Securities Commissioner that he had been charged with a felony, at the time of his application in June, 2015. The staff of the Texas State Securities Board ("Staff") discovered the charge during their review of the Respondent's June, 2015 application.

CONCLUSIONS OF LAW

1. Respondent's failure to disclose to the Securities Commissioner that he was charged with a felony is a violation of §115.9(a)(2) and §116.9(a)(2) of the Board Rules.
2. Pursuant to Section 14.A(6) of the Texas Securities Act, the aforementioned violations of Board Rules constitute bases for the issuance of an order reprimanding and suspending the Respondent.
3. Pursuant to Section 23-1 of the Texas Securities Act, the foregoing violations of the Texas Securities Act and Board rules constitute bases for the issuance of an order assessing an administrative fine against Respondent.

ORDER

1. It is therefore ORDERED that the registration of Jetmir Ahmeti as an investment adviser representative is hereby GRANTED.
2. It is further ORDERED that Jetmir Ahmeti is hereby REPRIMANDED.
3. It is further ORDERED that Jetmir Ahmeti is hereby SUSPENDED for a period of thirty (30) days from the date this Order is entered by the Securities Commissioner.
4. It is further ORDERED that Jetmir Ahmeti is hereby ASSESSED AN ADMINISTRATIVE FINE in the amount of Five Thousand Dollars (\$5,000). Payment shall be made by delivery of a cashier's check to the Securities Commissioner in the amount of Five Thousand Dollars (\$5,000), payable to the State of Texas, contemporaneously with the delivery of this Order.
5. It is further ORDERED that Jetmir Ahmeti COMPLY with the terms of the Undertaking dated October 9, 2015 and filed with the Securities Commissioner.

SIGNED AND ENTERED BY THE SECURITIES COMMISSIONER this 21st
day of October, 2015.



JOHN MORGAN
Securities Commissioner

Respondent:


Jetmir Ahmeti

Approved as to Form:

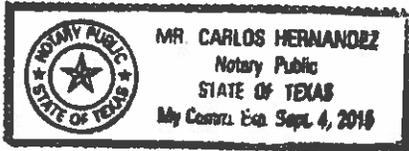

Ronak V. Patel
Deputy Securities Commissioner


Callie A. Baker
Attorney
Inspections and Compliance Division

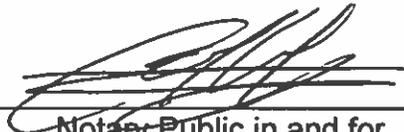
ACKNOWLEDGMENT

On the 9 day of OCTOBER, 2015, Jetmir Ahmeti ("Respondent") personally appeared before me, executed the foregoing Order, and acknowledged that:

1. Respondent has read the foregoing Order and Undertaking incorporated by reference herein;
2. Respondent has been full advised of his rights under the Texas Securities Act and the Administrative Procedures Act;
3. Respondent knowingly and voluntarily consents to the entry of the foregoing Order and the Findings of Fact and Conclusions of Law contained therein and Undertaking incorporated by reference herein; and
4. Respondent, by consenting to the entry of the foregoing Order, has knowingly and voluntarily waived its rights as set forth therein.



[affix notary seal here]



Notary Public in and for
the State of TEXAS

My commission expires on: SEPT 4, 2016