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## Texas State Securities Board

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Austin, Texas 78701-2407  
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IN THE MATTER OF THE APPLICATION  
FOR AGENT REGISTRATION OF  
SALVATORE CLARK

§  
§  
§

**Order No. REG13-SUS-01**

TO: Salvatore Clark (CRD No. 2580477)  
C.K. Cooper & Company, Inc.  
538 Broadhollow Rd., Suite 402  
Melville, NY 11747

### DISCIPLINARY ORDER

Be it remembered that Salvatore Clark ("Respondent") appeared before the Securities Commissioner of the State of Texas ("Securities Commissioner") and consented to the entry of this order ("Order") and the Findings of Fact and Conclusions of Law contained herein.

### FINDINGS OF FACT

1. Respondent has waived (a) Respondent's right to notice and hearing in this matter; (b) Respondent's right to appear and present evidence in this matter; (c) Respondent's right to appeal this Order; and (d) all other procedural rights granted to the Respondent by The Securities Act, Tex. Rev. Civ. Stat. Ann. arts. 581-1 to 581-43 (West 2010 & Supp. 2012) ("Texas Securities Act"), and the Administrative Procedure Act, Tex. Gov't Code Ann. §§ 2001.001 to 2001-902 (West 2008 & Supp. 2012) ("Administrative Procedure Act").
2. From on or about October 8, 2010 to January 12, 2012, Respondent was registered with the Securities Commissioner as an agent of Brookstone Securities, Inc. ("Brookstone").
3. On or about January 7, 2013, Respondent submitted an application for registration as an agent of C.K. Cooper & Company, Inc. This application is currently pending.
4. On or about October 6, 2010, and as a condition of the Securities Commissioner approving Respondent's application for registration with Brookstone, Respondent entered into an agreement, or "Undertaking", with the Securities Commissioner. The Undertaking listed specific restrictions on Respondent's activities, including

an agreement by the Respondent that he "will deliver, to the Director of the Inspections & Compliance Division of the Texas State Securities Board (the "Director"), any written or oral complaints and regulatory actions in which Applicant's name appears or in which Applicant is otherwise involved."

5. On September 22, 2011, a customer complaint was reported on Respondent's Form U-4. There is no record to the Director of having received this written complaint.
6. Pursuant to Section 14.A(11) of the Texas Securities Act, the Securities Commissioner may deny, revoke, or suspend a registration issued under the Texas Securities Act, or reprimand a person registered under the Texas Securities Act if the person has violated any provision of any undertaking or agreement with the Securities Commissioner.

#### CONCLUSION OF LAW

Respondent's failure to provide a copy of the written customer complaint reported on his Form U-4 on September 22, 2011 is a violation of a provision of an Undertaking with the Securities Commissioner. Pursuant to Section 14.A(11) of the Texas Securities Act, such violation constitutes a basis for suspending Respondent.

#### ORDER

1. It is therefore ORDERED that the application for registration of Salvatore Clark with the Securities Commissioner as an agent of C.K. Cooper & Company, Inc. is hereby approved.
2. It is further ORDERED that the registration of Salvatore Clark with the Securities Commissioner as an agent of C.K. Cooper & Company, Inc. is hereby SUSPENDED for twenty (20) business days from the date of this Order.
3. It is further ORDERED that Salvatore Clark COMPLY with the terms of the Undertaking, incorporated by reference herein.

SIGNED AND ENTERED BY THE SECURITIES COMMISSIONER this 19<sup>th</sup>  
day of March, 2013.

  
JOHN MORGAN  
Securities Commissioner

Respondent:

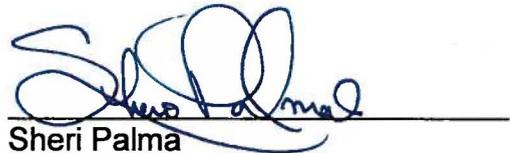


Salvatore Clark

Approved as to Form:



Patricia A. Louterback  
Director  
Registration Division



Sheri Palma  
Attorney  
Registration Division

**ACKNOWLEDGMENT**

On the 18 day of March, 2013, Salvatore Clark ("Respondent") personally appeared before me, executed the foregoing Order, and acknowledged that:

- 1. Respondent has read the foregoing Order and Undertaking incorporated by reference herein;
- 2. Respondent has been fully advised of his rights under the Texas Securities Act and the Administrative Procedure Act;
- 3. Respondent knowingly and voluntarily consents to the entry of the foregoing Order and the Findings of Fact and Conclusions of Law contained therein and Undertaking incorporated by reference herein; and
- 4. Respondent, by consenting to the entry of the foregoing Order, has knowingly and voluntarily waived his rights as set forth therein.



Notary Public in and for SUFFOLK  
 the State of NEW YORK  
 Notary Public, State of New York  
 No. 01RU4999281  
 Qualified in Suffolk County  
 Commission Expires July 20, 2014

[affix notary seal here]

My commission expires on: \_\_\_\_\_