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Texas State Securities Board

208 E. 10th Street, 5th Floor
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IN THE MATTER OF
THE DEALER
AND INVESTMENT ADVISER
REGISTRATIONS OF
MICHAEL JASON GAMEZ

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§

Order No. IC16-SUS-12

TO: Michael Jason Gamez (CRD# 4942235)
Maplewood Investment Advisors, Inc.
MIAI, Inc.
422 West 3rd Avenue
Corsicana, TX 75110

DISCIPLINARY ORDER

Be it remembered that Michael Jason Gamez ("Respondent") appeared before the Securities Commissioner of the State of Texas ("Securities Commissioner") and consented to the entry of this order ("Order") and the Findings of Fact and Conclusions of Law contained herein.

FINDINGS OF FACT

1. Respondent has waived (a) Respondent's right to notice and hearing in this matter; (b) Respondent's right to appear and present evidence in this matter; (c) Respondent's right to appeal this Order; and (d) all other procedural rights granted to the Respondent by The Securities Act, Tex. Rev. Civ. Stat. Ann. arts. 581-1 to 581-43 (West 2010 & Supp. 2015)("Texas Securities Act"), and the Administrative Procedure Act, Tex. Gov't Code Ann. §§ 2001.001 to 2001.902 (West 2008 & Supp. 2015)("Administrative Procedure Act").
2. On January 6, 2015, Respondent registered with the Securities Commissioner as an agent of Maplewood Investment Advisors, Inc. This registration is currently effective.
3. On January 6, 2015, Respondent registered with the Securities Commissioner as an investment adviser representative of MIAI, Inc. This registration is currently effective.

Unauthorized Use of Discretion

4. March 26, 2008 until December 26, 2014, Respondent was registered with the Securities Commissioner as both an agent and investment adviser representative of Edward Jones.
5. From in or around January 2013 through in or around November 2014, Respondent regularly placed trades in certain clients' brokerage accounts without first discussing the specific trades with his clients (the "Clients") on the dates of the trades.
6. Respondent selected the date and price to purchase shares of stock without obtaining the Clients' approval for each trade. Furthermore, Respondent selected the amount of shares to purchase through each trade without obtaining approval from the Clients. In doing so, Respondent engaged in discretionary trading in client accounts.
7. Respondent was not authorized by Edward Jones to engage in discretionary trading.
8. Respondent was terminated for cause by Edward Jones as a result of the trading activity described above. Edward Jones re-paid to the Clients commissions each paid as a result of Respondents' actions.

CONCLUSIONS OF LAW

1. Respondent's use of discretion in his client accounts at a time when such discretion was not authorized by his broker-dealer constitutes an inequitable practice in the sale of securities.
2. Pursuant to Section 14.A(3) of the Texas Securities Act, the aforementioned inequitable practice in the sale of securities constitutes a basis for the suspension of Respondent's registrations with the Securities Commissioner.

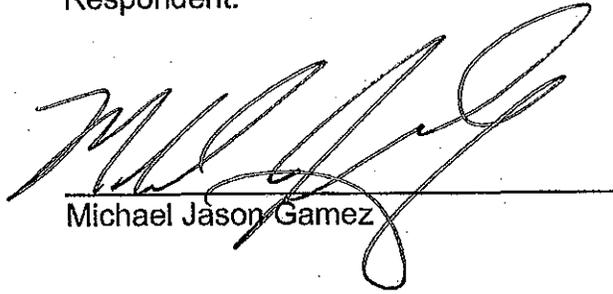
ORDER

It is therefore ORDERED that the dealer and investment adviser representative registrations of Michael Jason Gamez with the Securities Commissioner are hereby SUSPENDED for a period of one hundred (100) days beginning August 8, 2016.

SIGNED AND ENTERED BY THE SECURITIES COMMISSIONER this 27th day of July, 2016.

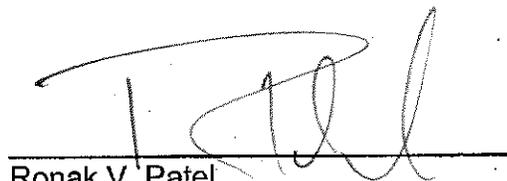

JOHN MORGAN
Securities Commissioner

Respondent:



Michael Jason Gamez

Approved as to Form:

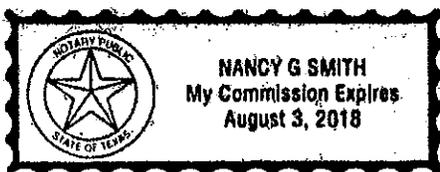


Ronak V. Patel
Deputy Securities Commissioner

ACKNOWLEDGMENT

On the 27th day of July, 2016, Michael Jason Gamez ("Respondent") personally appeared before me, executed the foregoing Order and acknowledged that:

1. Respondent has read the foregoing Order;
2. Respondent has been fully advised of his rights under the Texas Securities Act and the Administrative Procedure Act;
3. Respondent knowingly and voluntarily consents to the entry of the foregoing Order and the Findings of Fact and Conclusions of Law contained therein; and
4. Respondent, by consenting to the entry of the foregoing Order, has knowingly and voluntarily waived his rights as set forth therein.



[affix notary seal here]

Nancy G. Smith
Notary Public in and for
the State of Texas

My commission expires on: 08/03/2018