

DENISE VOIGT CRAWFORD
SECURITIES COMMISSIONER



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DEPUTY SECURITIES COMMISSIONER

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IN THE MATTER OF THE APPLICATION FOR
THE INVESTMENT ADVISER REPRESENTATIVE
AND AGENT REGISTRATIONS OF
NOOR SIRAJ AMIRALI

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§
§

Order No. IC09-SUS-22

TO: Noor Siraj Amirali (CRD No. 3062220)
D.H. Hill Securities LLP
D.H. Hill Advisors, Inc.
7821 FM 1960 E., Ste. B
Humble, TX 77346

DISCIPLINARY ORDER

Be it remembered that Noor Siraj Amirali, ("Respondent"), appeared before the Securities Commissioner of the State of Texas ("Securities Commissioner") and consented to the entry of this Order and the Findings of Fact and Conclusions of Law contained herein.

FINDINGS OF FACT

1. Respondent has waived (a) Respondent's right to notice and hearing in this matter; (b) Respondent's right to appear and present evidence in this matter; (c) Respondent's right to appeal this Order; and (d) all other procedural rights granted to the Respondent by The Securities Act, TEX. REV. CIV. STAT. ANN. art. 581-1 et seq. (Vernon 1964 & Supp. 2008) ("Texas Securities Act"), and the Administrative Procedure Act, TEX. GOV'T CODE ANN. §2001.001 et seq. (Vernon 2008) ("Administrative Procedure Act").
2. On or about October 1, 2002, Respondent registered with the Securities Commissioner as an agent of Banc One Securities Corporation ("Banc One"), which was mass transferred to Chase Investment Services Corp. ("Chase") on or about July 6, 2005, and terminated on or about November 7, 2008.
3. On or about February 14, 2005, Respondent registered with the Securities Commissioner as an investment adviser representative of Banc One, which was mass transferred to Chase on or about July 6, 2005, and terminated on or about November 7, 2008.

4. On or about May 4, 2009, Respondent applied for registration with the Securities Commissioner as an investment adviser representative of D.H. Hill Advisors, Inc., which is currently pending.
5. On or about May 7, 2009, Respondent applied for registration with the Securities Commissioner as an agent of D.H. Hill Securities LLP, which is currently pending.
6. During the registration process, the Staff of the Texas State Securities Board, ("Staff"), discovered that from in or about 2005 to in or about 2008, Respondent initialed forms on behalf of customers of Chase and submitted such forms to Chase, which violated the written supervisory procedures of Chase.

CONCLUSIONS OF LAW

1. Respondent's initialing forms on behalf of customers is an inequitable practice in the sale of securities or in rendering services as an investment adviser, which constitutes a basis for the issuance of an order reprimanding or suspending an agent or investment adviser representative pursuant to Section 14.A(3) of the Texas Securities Act.
2. Respondent's failure to comply with Chase's written supervisory procedures is an inequitable practice in the sale of securities or in rendering services as an investment adviser, which constitutes a basis for the issuance of an order reprimanding or suspending an agent or investment adviser representative pursuant to Section 14.A(3) of the Texas Securities Act.

ORDER

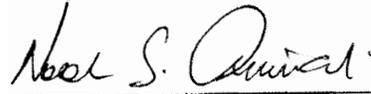
1. It is therefore ORDERED that the registration of Noor Siraj Amirali as an agent of D.H. Hill Securities LLP is hereby GRANTED.
2. It is further ORDERED that the registration of Noor Siraj Amirali as an investment adviser representative of D.H. Hill Advisors, Inc. is hereby GRANTED.
3. It is further ORDERED that Noor Siraj Amirali is hereby REPRIMANDED.
4. It is further ORDERED that the registrations of Noor Siraj Amirali are hereby SUSPENDED for a period of three (3) days from the date this Order is entered by the Securities Commissioner. In the event that the registrations of Noor Siraj Amirali are terminated before the end of this period, the suspension period will be tolled from the date that the registrations of Noor Siraj Amirali are terminated to the date that the registrations of Noor Siraj Amirali are again effective.

5. It is further ORDERED that Noor Siraj Amirali COMPLY with the terms of the Undertaking incorporated by reference herein.

SIGNED AND ENTERED by the Securities Commissioner this 23rd day of September, 2009.

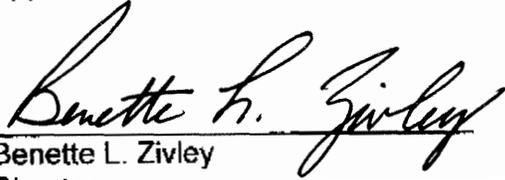


DENISE VOIGT CRAWFORD
Securities Commissioner



Noor Siraj Amirali

Approved as to Form:



Benette L. Zivley

Director

Inspections and Compliance Division

ACKNOWLEDGMENT

(“Respondent”) _____ September
appeared before me, executed the foregoing Order, and acknowledged that:

1. Respondent has read the foregoing Order;
3. Respondent has been fully advised of his rights under the Texas Securities Act and the Administrative Procedure Act;
4. Respondent knowingly and voluntarily consents to the entry of the foregoing Order and the Findings of Fact and Conclusions of Law contained herein; and
5. Respondent, by consenting to the entry of the foregoing Order, has knowingly and voluntarily waived his rights as set forth therein.



[affix notary seal here]