DENISE VOIGT CRAWFORD SECURITIES COMMISSIONER

JOHN R. MORGAN
DEPUTY SECURITIES COMMISSIONER

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# Texas State Securities Board

208 E. 10th Street, 5th Floor Austin, Texas 78701 -2407 www.ssb.state.tx.us BETH ANN BLACKWOOD CHAIR

BRYAN K. BROWN MEMBER

EDWARD ESCUDERO MEMBER

DERRICK MITCHEII MEMBER

E. WAIIY KINNEY MEMBER

IN THE MATTER OF	§		
THE AGENT REGISTRATION OF	§	Order No.	IC08-SUS-06
JOHN EDWARD SHRYACK	§		

TO: John Edward Shryack (CRD No. 1785131) Milkie/Ferguson Investments, Inc. 8750 N. Central Expressway, Suite 1700 Dallas, TX 75231

## **DISCIPLINARY ORDER**

Be it remembered that John Edward Shryack ("Respondent") appeared before the Securities Commissioner of the State of Texas ("Securities Commissioner") and consented to the entry of this Order and the Findings of Fact and Conclusions of Law contained herein.

## FINDINGS OF FACT

- 1. Respondent has waived (a) Respondent's right to notice and hearing in this matter; (b) Respondent's right to appear and present evidence in this matter; (c) Respondent's right to appeal this Order; and (d) all other procedural rights granted to the Respondent by The Securities Act, TEX. REV. CIV. STAT. ANN. art. 581-1 et seq. (Vernon 1964 & Supp. 2007)("Texas Securities Act"), and the Administrative Procedure Act, TEX. GOVT CODE ANN. § 2001.001 et seq. (Vernon 2000 & Supp. 2007)("Administrative Procedure Act").
- 2. From on or about January 30, 1997 to on or about June 17, 2008, Respondent was registered with the Securities Commissioner as an agent of Fox & Company Investments, Inc. ("Fox & Company")
- 3. On or about June 17, 2008, Respondent submitted an application for registration as an agent of Milkie/Ferguson Investments, Inc. This application is currently pending.
- 4. On or about January 28, 1997, and as a condition of the Securities Commissioner approving Respondent's application for registration with Fox & Company, Respondent entered into an agreement, or "Undertaking", with the Securities Commissioner. The Undertaking listed specific restrictions on Respondent's activities, including an agreement by the Respondent that he would "not act in any supervisory capacity".

- 5. From December 2005 to October 2006, Respondent acted in a supervisory capacity for Fox & Company as the Branch Manager of a Texas branch office of Fox & Company.
- 6. Respondent represents that he mistakenly believed that the Undertaking had expired. The staff of the Texas State Securities Board did not find any evidence indicating that Respondent violated the Undertaking prior to December 2005.
- 7. Pursuant to Section 14.A(11) of the Texas Securities Act, the Securities Commissioner may deny, revoke, or suspend a registration issued under the Texas Securities Act, or reprimand a person registered under the Texas Securities Act if the person has violated any provision of any undertaking or agreement with the Securities Commissioner.

## CONCLUSION OF LAW

Respondent's activity as a Branch Manager for Fox & Company from December 2005 to October 2006 was in violation of a provision of an Undertaking with the Securities Commissioner. Pursuant to Section 14.A(11) of the Texas Securities Act, such violation constitutes a basis for suspending a registered agent.

#### **ORDER**

- 1. It is therefore ORDERED that the application for registration of John Edward Shryack with the Securities Commissioner as an agent of Milkie/Ferguson Investments, Inc. is hereby approved.
- 2. It is further ORDERED that the registration of John Edward Shryack with the Securities Commissioner as an agent of Milkie/Ferguson Investments, Inc. is hereby SUSPENDED for five (5) business days from the date of this Order.

SIGNED AND ENTERED BY THE SECURITIES COMMISSIONER this 17th day of \_\_\_\_\_\_, 2008.

DENISE VOIGT CRAWFORD
Securities Commissioner

Lenise Voigt Crawford

Respondent:

Approved as to Form:

Bentle L. Zivley Zinly/Rup

Director

Inspections and Compliance Division

Attorney for Respondent

Ronak V. Patel

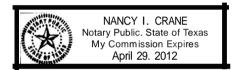
Attorney

Inspections and Compliance Division

## **ACKNOWLEDGMENT**

On the day of July, 2008, John Edward Shryack ("Respondent") personally appeared before me, executed the foregoing Order, and acknowledged that:

- 1. Respondent has read the foregoing Order;
- 2. Respondent has been fully advised of his rights under the Texas Securities Act and the Administrative Procedure Act;
- 3. Respondent knowingly and voluntarily consents to the entry of the foregoing Order and the Findings of Fact and Conclusions of Law contained therein; and
- 4. Respondent, by consenting to the entry of the foregoing Order, has knowingly and voluntarily waived his rights as set forth therein.



[affix notary seal here]

Notary Public in and for the State of / exas

My commission expires on: 4-29-12