

DENISE VOIGT CRAWFORD
SECURITIES COMMISSIONER



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IN THE MATTER OF
THE APPLICATION FOR
THE INVESTMENT ADVISER
REGISTRATION OF MARK ANTHONY
MILLER DBA MILLER EQUITY CAPITAL
ADVISORS

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Order No. IC07-CAF-08

TO: Mark Anthony Miller
d/b/a Miller Equity Capital Advisors (IARD No. 141343)
5550 LBJ Freeway, Suite 670
Dallas, TX 75240

DISCIPLINARY ORDER

Be it remembered that Mark Anthony Miller d/b/a Miller Equity Capital Advisors ("Respondent"), appeared before the Securities Commissioner of the State of Texas ("Securities Commissioner") and consented to the entry of this order ("Order") and the Findings of Fact and Conclusions of Law contained herein.

FINDINGS OF FACT

1. Respondent has waived (a) Respondent's right to notice and hearing in this matter; (b) Respondent's right to appear and present evidence in this matter; (c) Respondent's right to appeal this Order; and (d) all other procedural rights granted to the Respondent by The Securities Act, TEX. REV. CIV. STAT. ANN. art. 581-1 et seq. (Vernon 1964 & Supp. 2006)("Texas Securities Act"), and the Administrative Procedure Act, TEX. GOV'T CODE ANN. § 2001.001 et seq. (Vernon 2000 & Supp. 2006)("Administrative Procedure Act").
2. On or about October 23, 2006, Respondent submitted an application for registration with the Securities Commissioner as an investment adviser, which is currently pending.
3. From in or about January 2000 to in or about December 2006, Respondent, for compensation and in Texas, engaged in the business of advising others with respect to the value of securities or to the advisability of investing in, purchasing, or selling securities.

4. Section 12.B of the Texas Securities Act, prohibits a person from rendering services as an investment adviser in Texas unless the person is registered under the Texas Securities Act, submits a notice filing as provided by Section 12-1 of the Texas Securities Act, or is otherwise exempt.

CONCLUSIONS OF LAW

1. Respondent advised others, for compensation, with respect to the value of securities and the advisability of investing in, purchasing, or selling securities, and therefore is an "investment adviser" as the term "investment adviser" is defined by Section 4.N of the Texas Securities Act.
2. Respondent violated Section 12.B of the Texas Securities Act by rendering services as an investment adviser in Texas at a time when Respondent was not registered as an investment adviser with the Securities Commissioner.
3. Pursuant to Section 14.A(6) of the Texas Securities Act, the foregoing violation constitutes a basis for the issuance of an order reprimanding a registered investment adviser.
4. Pursuant to Section 23-1 of the Texas Securities Act, the foregoing violation constitutes a basis for the issuance of an order assessing an administrative fine against an investment adviser.

ORDER

1. It is therefore ORDERED that the investment adviser registration of Mark Anthony Miller d/b/a/ Miller Equity Capital Advisors is hereby GRANTED.
2. It is further ORDERED that Mark Anthony Miller d/b/a/ Miller Equity Capital Advisors is hereby REPRIMANDED.
3. It is further ORDERED that Mark Anthony Miller d/b/a/ Miller Equity Capital Advisors is hereby ASSESSED AN ADMINISTRATIVE FINE in the amount of Six Thousand Dollars (\$6,000.00). Payment shall be made by delivery of a cashier's check to the Securities Commissioner in the amount of Six Thousand Dollars (\$6,000.00), payable to the State of Texas, contemporaneously with the delivery of this Order.

SIGNED AND ENTERED BY THE SECURITIES COMMISSIONER this 27^d
day of April, 2007.



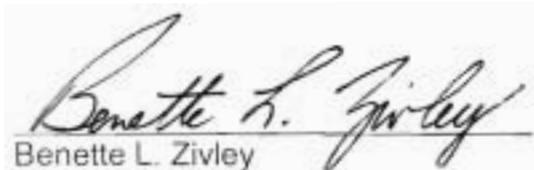
DENISE VOIGT CRAWFORD
Securities Commissioner

Respondent:



Mark Anthony Miller
d/b/a/ Miller Equity Capital Advisors

Approved as to Form:

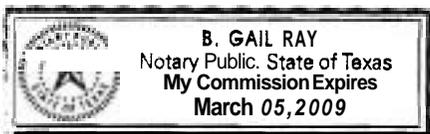


Benette L. Zivley
Director
Inspections and Compliance Division

ACKNOWLEDGMENT

On the 29th day of March, 2007, Mark Anthony Miller d/b/a/ Miller Equity Capital Advisors ("Respondent") personally appeared before me, executed the foregoing Order, and acknowledged that:

- 1. Respondent has read the foregoing Order;
- 2. Respondent has been fully advised of his rights under the Texas Securities Act and the Administrative Procedure Act;
- 3. Respondent knowingly and voluntarily consents to the entry of the foregoing Order and the Findings of Fact and Conclusions of Law contained therein; and
- 4. Respondent, by consenting to the entry of the foregoing Order, has knowingly and voluntarily waived his rights as set forth therein.



[affix notary seal here]

B. Gail Ray
Notary Public in and for
the State of Texas

My commission expires on: 03/05/09