

DENISE VOIGT CRAWFORD
SECURITIES COMMISSIONER



JACK D. LADD
CHAIRMAN

KENNETH W. ANDERSON, JR.
MEMBER

BRYAN K. BROWN
MEMBER

BETH ANN BLACKWOOD
MEMBER

WILLIAM R. SMITH
MEMBER

DON A. RASCHKE
DEPUTY SECURITIES COMMISSIONER

Mail: P.O. BOX 13167
AUSTIN, TEXAS 78711-3167

Phone: (512) 305-8300
Facsimile: (512) 305-8310

Texas State Securities Board

208 E. 10th Street, 5th Floor
Austin, Texas 78701-2407
www.ssb.state.tx.us

IN THE MATTER OF THE
INVESTMENT ADVISER
REPRESENTATIVE REGISTRATION
OF IDE PEBBLES TROTTER, JR.

§
§
§
§

Order No. IC06-CEN-43

TO: Ide Pebbles Trotter, Jr. (CRD No. 2112140)
Trotter Capital Management, Inc.
1215 Rock Springs Road, Suite 100
Duncanville, TX 75137

DISCIPLINARY ORDER

Be it remembered that Ide Pebbles Trotter, Jr. ("Respondent"), appeared before the Securities Commissioner of the State of Texas ("Securities Commissioner") and consented to the entry of this Order and the Findings of Fact or Conclusions of Law contained herein.

FINDINGS OF FACT

1. Respondent has waived (a) Respondent's right to notice and hearing in this matter; (b) Respondent's right to appear and present evidence in this matter; (c) Respondent's right to appeal this Order; and (d) all other procedural rights granted to the Respondent by The Securities Act, TEX. REV. CIV. STAT. ANN. art. 581-1 et seq. (Vernon 1964 & Supp. 2006)("Texas Securities Act"), and the Administrative Procedure Act, TEX. GOV'T CODE ANN. § 2001.001 et seq. (Vernon 2000 & Supp. 2006)("Administrative Procedure Act").
2. From on or about November 8, 1990 to the present, Trotter Capital Management, Inc. ("Trotter Capital") has been registered under the Investment Advisers Act of 1940 with the United States Securities and Exchange Commission as an investment adviser, and is a federal covered investment adviser that is notice-filed with the Securities Commissioner.
3. From on or about January 16, 1991 to on or about December 31, 2002, Respondent was registered with the Securities Commissioner as an investment adviser representative of Trotter Capital.

4. Pursuant to §116.2(f)(3) of the Rules and Regulations of the Texas State Securities Board effective March 6, 2002, all persons seeking registration with the Securities Commissioner as an investment adviser representative were required to file the Uniform Application for Securities Industry Registration or Transfer ("Form U-4") via the electronic filing system maintained by the National Association of Securities Dealers, Inc. ("NASD").
5. As of December 31, 2002, Respondent had not filed an electronic Form U-4 with the Securities Commissioner as an investment adviser representative of Trotter Capital, and did not transition onto the electronic filing system maintained by the NASD.
6. From on or about January 7, 2003 to the present, Respondent has rendered investment advisory services to Trotter Capital's clients in Texas on behalf of Trotter Capital, for compensation, at a time when Respondent has not been registered as an investment adviser representative of Trotter Capital.
7. On or about May 2, 2006, Respondent submitted an application for registration with the Securities Commissioner as an investment adviser representative of Trotter Capital, which is currently pending.
8. Section 12.B of the Texas Securities Act, prohibits a person from rendering services as an investment adviser representative in Texas unless the person is registered under the Texas Securities Act, submits a notice filing as provided by Section 12-1 of the Texas Securities Act, or is otherwise exempt.

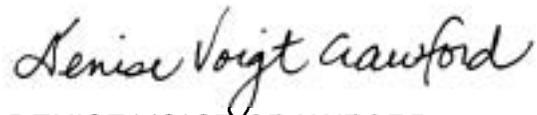
CONCLUSIONS OF LAW

1. Respondent rendered services in Texas as an "investment adviser representative" as that term is defined by Section 4.P of the Texas Securities Act.
2. From on or about January 1, 2003 to the present, Respondent has violated Section 12.B of the Texas Securities Act by rendering services as an investment adviser representative in Texas for Trotter Capital at a time when Respondent has not been registered with the Securities Commissioner as an investment adviser representative of Trotter Capital.
3. Pursuant to Section 14.A(6) of the Texas Securities Act, the foregoing violation constitutes a basis for the issuance of an order reprimanding a registered investment adviser representative.

ORDER

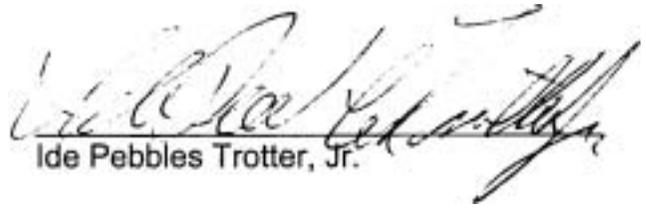
1. It is therefore ORDERED that the registration of Ide Pebbles Trotter, Jr. as an investment adviser representative of Trotter Capital Management, Inc. is hereby GRANTED.
2. It is further ORDERED that Ide Pebbles Trotter, Jr. is hereby REPRIMANDED.

SIGNED AND ENTERED BY THE SECURITIES COMMISSIONER this 27th
day of September, 2006.



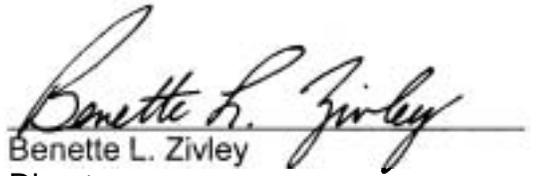
DENISE VOIGT CRAWFORD
Securities Commissioner

Respondent:



Ide Pebbles Trotter, Jr.

Approved as to Form:



Benette L. Zivley
Director
Inspections and Compliance Division

ACKNOWLEDGMENT

On the 15 day of September, 2006. Ide Pebbles Trotter, Jr. ("Respondent"), personally appeared before me, executed the foregoing Order, and acknowledged:

1. Respondent has read the foregoing Order;
2. Respondent has been fully advised of his rights under the Texas Securities Act and the Administrative Procedure Act;
3. Respondent knowingly and voluntarily consents to the entry of the foregoing Order and the Findings of Fact and Conclusions of Law contained therein; and,
4. Respondent, by consenting to the entry of the foregoing Order, has knowingly and voluntarily waived his rights as set forth therein.



[affix notary seal here]

Patricia A. Blujarski
Notary Public in and for
the State of TX

My commission expires on: 01/30/2010