

THE STATE OF TEXAS

Scott Carpenter
32.45(c) (7)
26990034

VS

JEFFREY RAY FILLA

D.O.B.: 02/14/1978	DA CONTROL NO: 15-002309
FELONY CHARGE: MISAPP FIDUC/FINAN PROP>=\$200K/ F1	ARREST DATE: Not arrested on this charge
CAUSE NO: 15 DCR 068813	OFFENSE DATE: Between November 15, 2011 and March 1, 2014
DISTRICT COURT NO: 400th	AGENCY/AGENCY NO: FORT BEND COUNTY SHERIFF'S OFFICE/ 140008881
BAIL AMOUNT: \$75,000.00	PRIOR CAUSE NO:
RELATED: THEFT PROP>=\$200K; FRAUD SELL SECURITIES <\$10K; MONEY LAUNDERING >=\$200K	CO-DEF:

IN THE NAME AND BY AUTHORITY OF THE STATE OF TEXAS:

The duly organized Grand Jury of Fort Bend County, Texas, presents in the District Court of Fort Bend County, Texas, that in Fort Bend County, Texas, JEFFREY RAY FILLA, hereafter styled the Defendant, heretofore on or about and between November 15, 2011 and March 1, 2014,

PARAGRAPH A
pursuant to one scheme and continuing course of conduct, did, unlawfully intentionally and knowingly misapply property, the Defendant held as a fiduciary, namely, money of the aggregate value of more than \$200,000.00, by dealing with the property contrary to an agreement under which the Defendant held the property as a fiduciary, in a manner that involved a substantial risk of loss to Elmer and or Lena Jones and or Joseph Landy and or Rex Boatright, the owners of the property.

PARAGRAPH B
pursuant to one scheme and continuing course of conduct, did, unlawfully, intentionally and knowingly misapply property, the Defendant held as a fiduciary, namely, money of the aggregate value of more than \$200,000.00, by dealing with the property contrary to an agreement under which the Defendant held the property as a fiduciary, in a manner that involved a substantial risk of loss to Elmer and or Lena Jones and or Joseph Landy and or Rex Boatright, persons for whose benefit the property was held.

AGAINST THE PEACE AND DIGNITY OF THE STATE.

FILED

2015 MAR 23 AM 10:48

Renee Frazier Elliott

CLERK DISTRICT COURT
FORT BEND CO. TX

M B K

FOREMAN OF THE GRAND JURY

INDICTMENT (ORIGINAL/DA)

15-DCR-068813
INDI
Indictment
3487172



THE STATE OF TEXAS

Scott Carpenter
31.03(a) (7)
23990013

VS

JEFFREY RAY FILLA

D.O.B.: 02/14/1978	DA CONTROL NO: 15-002312
FELONY CHARGE: THEFT PROP>=\$200K/ F1	ARREST DATE: Not arrested on this charge
CAUSE NO: 15 DCR 068814	OFFENSE DATE: Between November 15, 2011 and March 1, 2014
DISTRICT COURT NO: 400th	AGENCY/AGENCY NO: FORT BEND COUNTY SHERIFF'S OFFICE/ 140008881
BAIL AMOUNT: 75,000 ^{us} <i>my</i>	PRIOR CAUSE NO:
RELATED: MISAPP FIDUC/FINAN PROP>=\$200K; FRAUD SELL SECURITIES <\$10K; MONEY LAUNDERING >=\$200K	CO-DEF:

IN THE NAME AND BY AUTHORITY OF THE STATE OF TEXAS:

The duly organized Grand Jury of Fort Bend County, Texas, presents in the District Court of Fort Bend County, Texas, that in Fort Bend County, Texas, JEFFREY RAY FILLA, hereafter styled the Defendant, heretofore on or about and between November 15, 2011 and March 1, 2014, pursuant to one scheme and continuing course of conduct, did, unlawfully, appropriate property, namely, money of the aggregate value of more than \$200,000.00 from Elmer and or Lena Jones and or Joseph Landy and or Rex Boatright, hereinafter referred to as the owners, without the effective consent of the owners and with the intent to deprive the owners of the property.

AGAINST THE PEACE AND DIGNITY OF THE STATE.

FILED

2015 MAR 23 AM 10:48

Ala Backs

FOREMAN OF THE GRAND JURY

Rainie Johnson Elliott

INDICTMENT (ORIGINAL/DA)

CLERK DISTRICT COURT
FORT BEND CO. TX

15-DCR-088914
INDI
Indictment
3487242



THE STATE OF TEXAS

Scott Carpenter
581-29 (C) (1) (a)
26990122

VS

JEFFREY RAY FILLA

D.O.B.: 02/14/1978	DA CONTROL NO: 15-002316
FELONY CHARGE: FRAUD SELL SECURITIES <\$10K/ F*	ARREST DATE: Not arrested on this charge
CAUSE NO: 15 DCR 068815	OFFENSE DATE: Between November 15, 2011 and March 1, 2014
DISTRICT COURT NO: 400th	AGENCY/AGENCY NO: FORT BEND COUNTY SHERIFF'S OFFICE/ 140008881
BAIL AMOUNT: \$100,000.00 ^{no} _{my}	PRIOR CAUSE NO:
RELATED: MISAPP FIDUC/FINAN PROP>=\$200K; THEFT PROP>=\$200K; MONEY LAUNDERING >=\$200K	CO-DEF:

IN THE NAME AND BY AUTHORITY OF THE STATE OF TEXAS:

The duly organized Grand Jury of Fort Bend County, Texas, presents in the District Court of Fort Bend County, Texas, that in Fort Bend County, Texas, JEFFREY RAY FILLA, hereafter styled the Defendant, heretofore on or about and between November 15, 2011 and March 1, 2014, pursuant to one scheme and continuing course of conduct, did, unlawfully, then and there, in connection with the sale or offering for sale of a security, directly or indirectly, engaged in fraud or fraudulent practices as to the Prophecy Fund LP (hereinafter referred to as the Prophecy Fund) by:

intentionally misrepresenting that financial statements provided to purchasers by the Defendant were drafted and audited by Green NFH, LLC - a relevant fact, and or

intentionally failing to disclose that some of purchaser s funds would be used to pay distributions to another investor a material fact, and or

intentionally failing to disclose that purchaser s funds had not been forwarded to trading firms after June 24, 2010 a material fact, and or

intentionally failing to disclose that Defendant was transferring funds from Prophecy Fund accounts to Auto Direct, LLC a material fact, and

the aggregate value of the amount involved was \$100,000.00 or more, as to one or more of the persons: Elmer or Lena Jones and or Joseph Landy and or the RBI Trust and or Rex Boatright.

AGAINST THE PEACE AND DIGNITY OF THE STATE.

FILED

2015 MAR 23 AM 10:49

Ronnie Robinson, E. Elliott

CLERK DISTRICT COURT
FORT BEND CO. TX

DA Ball

FOREMAN OF THE GRAND JURY

INDICTMENT (ORIGINAL/DA)

15-DCR-068815
INDI
Indictment
3487247



THE STATE OF TEXAS

Scott Carpenter
34.02 (e) (4)
26990154

VS

JEFFREY RAY FILLA

D.O.B.: 02/14/1978	DA CONTROL NO: 15-002324
FELONY CHARGE: MONEY LAUNDERING >=\$200K/ F1	ARREST DATE: Not arrested on this charge
CAUSE NO: 15 DCR 068816	OFFENSE DATE: Between November 15, 2011 and March 1, 2014
DISTRICT COURT NO: 400th	AGENCY/AGENCY NO: FORT BEND COUNTY SHERIFF'S OFFICE/ 140008881
BAIL AMOUNT: \$100,000.00 <i>my</i>	PRIOR CAUSE NO:
RELATED: MISAPP FIDUC/FINAN PROP>=\$200K; THEFT PROP>=\$200K; FRAUD SELL SECURITIES <\$10K	CO-DEF:

IN THE NAME AND BY AUTHORITY OF THE STATE OF TEXAS:

The duly organized Grand Jury of Fort Bend County, Texas, presents in the District Court of Fort Bend County, Texas, that in Fort Bend County, Texas, JEFFREY RAY FILLA, hereafter styled the Defendant, heretofore on or about between November 15, 2011 and March 1, 2014,

PARAGRAPH A

pursuant to one scheme and continuing course of conduct, did, unlawfully and knowingly acquire or maintain an interest in or conceal or possess or transfer or transport the proceeds of criminal activity, namely, theft of the aggregate value of more than \$200,000.00 and or misapplication of property by a fiduciary of the aggregate value of more than \$200,000.00 and or unlawful sale of a security of the aggregate value of more than \$100,000.00.

PARAGRAPH B

pursuant to one scheme and continuing course of conduct, did, unlawfully and knowingly invest, expend or receive the proceeds of criminal activity or funds that the defendant believed were the proceeds of criminal activity, namely, theft of the aggregate value of more than \$200,000.00 and or misapplication of property by a fiduciary of the aggregate value of more than \$200,000.00 and or unlawful sale of a security of the aggregate value of more than \$100,000.00.

AGAINST THE PEACE AND DIGNITY OF THE STATE.

FILED

2015 MAR 23 AM 10:49

Renee Elliott
CLERK DISTRICT COURT
FORT BEND CO. TX

Mike J.
FOREMAN OF THE GRAND JURY

INDICTMENT (ORIGINAL/DA)

15-DCR-068816
INDI
Indictment
3487254

