

JOHN MORGAN
SECURITIES COMMISSIONER

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DEPUTY SECURITIES COMMISSIONER

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Texas State Securities Board

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IN THE MATTER OF §
A&I LEASE SERVICE, INC., AND §
DORA OZUNA §

Order No. ENF-13-CDO-1723

TO: A&I Lease Service, Inc.
PO Box 2012, Mission, Texas 78572

Dora Ozuna
127 North Breyfogle Rd., Apt. #12, Mission, Texas 78572

AGREED CEASE AND DESIST ORDER

Be it remembered that A&I Lease Service, Inc. ("Respondent A&I"), by its Vice-President, Dora Ozuna, and Dora Ozuna ("Respondent Ozuna"), individually, appeared before the Securities Commissioner of Texas ("Securities Commissioner") and consented to the entry of this Order and the Findings of Fact and Conclusions of Law contained herein.

FINDINGS OF FACT

1. Respondents' have waived (a) Respondents' right to notice and a hearing in this matter; (b) Respondents' right to appear and present evidence in this matter; (c) Respondents' right to appeal this Order; and (d) all other procedural rights granted to Respondents by The Securities Act, TEX. REV. CIV. STAT. ANN. arts. 581-1 to 581-43 (West 2010 & Supp. 2012) (the "Texas Securities Act"), and the Administrative Procedure Act, TEX. GOV'T CODE ANN. §§ 2001.001 to 2001.902 (West 2008 & Supp. 2012).
2. Respondent A&I maintains a last known mailing address of PO Box 2012, Mission, Texas 78572.
3. Respondent Ozuna maintains a last known mailing address of 127 North Breyfogle Rd., Apt. #12, Mission, Texas 78572.
4. At all times material hereto, Respondent Ozuna was Vice-President of Respondent A&I.

5. Respondents offered and sold promissory notes issued by Respondent A&I in Texas.
6. The promissory notes were not registered by qualification, notification or coordination and no permit was granted for their sale in Texas.
7. Respondents were not registered with the Securities Commissioner as dealers or agents at any time material hereto.

CONCLUSIONS OF LAW

1. The promissory notes are "securities" as that term is defined by Section 4.A of the Texas Securities Act.
2. Respondents violated Section 7 of the Texas Securities Act by offering securities for sale in Texas at a time when the securities were not registered with the Securities Commissioner.
3. Respondents violated Section 12 of the Texas Securities Act by offering securities for sale in Texas without being registered pursuant to the provisions of Section 12 of the Texas Securities Act.
4. The foregoing violations constitute bases for the issuance of an Agreed Cease and Desist Order pursuant to Section 23.A of the Securities Act.

ORDER

1. It is therefore ORDERED that Respondents immediately CEASE AND DESIST from offering for sale any security in Texas until the security is registered with the Securities Commissioner or is offered for sale pursuant to an exemption from registration under the Texas Securities Act.
2. It is further ORDERED that Respondents immediately CEASE AND DESIST from the offer and sale of securities in Texas until Respondents are registered with the Securities Commissioner as a dealer or agent or an available exemption from registration is utilized.

SIGNED AND ENTERED by the Securities Commissioner this 22nd day of April, 2013.



JOHN MORGAN
Securities Commissioner

Respondents:

A&I Lease Service, Inc.



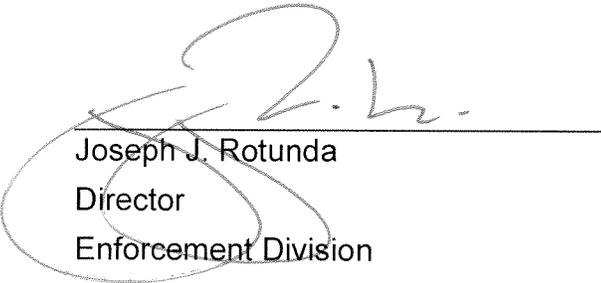
By: Dora Ozuna, Vice-President

Dora Ozuna



By: Dora Ozuna, Individually

Approved as to Form:



Joseph J. Rotunda
Director
Enforcement Division

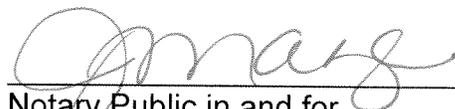


Angela Cole
Assistant Director
Enforcement Division

ACKNOWLEDGMENT

On the 10th day of April, 2013, A&I Lease Service, Inc. ("Respondent A&I"), by and through its Vice-President, Dora Ozuna, personally appeared before me, executed the foregoing Order and acknowledged that:

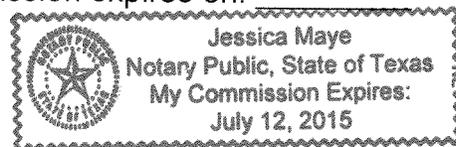
1. Dora Ozuna is authorized to enter into the foregoing Order on behalf of Respondent A&I;
2. Dora Ozuna has read the foregoing Order;
3. Respondent A&I has been fully advised of its rights under the Texas Securities Act and the Administrative Procedure Act;
4. Respondent A&I knowingly and voluntarily consents to the entry of the foregoing Order and the Findings of Fact and Conclusions of Law contained therein; and
5. Respondent A&I, by consenting to the entry of the foregoing Order, has knowingly and voluntarily waived its rights as set forth therein.



Notary Public in and for
the State of Texas

[affix notary seal here]

My commission expires on:



ACKNOWLEDGMENT

On the 10th day of April, 2013, Dora Ozuna ("Respondent Ozuna") personally appeared before me, executed the foregoing Order and acknowledged that:

1. Respondent has read the foregoing Order;
2. Respondent has been fully advised of his rights under the Texas Securities Act and the Administrative Procedure Act;
3. Respondent knowingly and voluntarily consents to the entry of the foregoing Order and the Findings of Fact and Conclusions of Law contained therein; and
4. Respondent, by consenting to the entry of the foregoing Order, has knowingly and voluntarily waived his rights as set forth therein.



Notary Public in and for
the State of Texas

[affix notary seal here]

My commission expires on: _____

