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SECURITIES COMMISSIONER

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## Texas State Securities Board

208 E. 10th Street, 5th Floor  
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IN THE MATTER OF §  
ROBERT J. QUINTANA §  
D/B/A SOUTHERN PUMP AND PIPELINE SERVICES, §  
AND ROBERT J. QUINTANA, INDIVIDUALLY §

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**Order No. ENF-11-CDO-1709**

TO: Robert J. Quintana d/b/a Southern Pump and Pipeline Services  
10451 Rustic Rock Rd., La Porte, TX 77571

Robert J. Quintana, Individually  
10451 Rustic Rock Rd., La Porte, TX 77571

### AGREED CEASE AND DESIST ORDER

Be it remembered that Robert J. Quintana d/b/a Southern Pump and Pipeline Services ("Respondent Southern"), by and through its Operations Manager, Robert J. Quintana, and Robert J. Quintana, individually ("Respondent Quintana") (collectively "Respondents"), appeared before the Securities Commissioner of Texas ("Securities Commissioner") and consented to the entry of this Order and the Findings of Fact and Conclusions of Law contained herein.

#### FINDINGS OF FACT

1. Respondents have waived (a) Respondents' right to notice and a hearing in this matter; (b) Respondents' right to appear and present evidence in this matter; (c) Respondents' right to appeal this Order; and (d) all other procedural rights granted to Respondents by The Securities Act, TEX. REV. CIV. STAT. ANN. art. 581-1 et seq. (West 2010) ("Texas Securities Act"), and the Administrative Procedure Act, TEX. GOV'T CODE ANN. § 2001.001 et seq. (West 2008 & Supp. 2010) ("Administrative Procedure Act").
2. Respondent Southern is a Texas entity formed on or about August 5, 2010, and it maintains a last known business address at 10451 Rustic Rock Rd., La Porte, TX 77571.
3. Respondent Quintana is the Operations Manager of Respondent Southern and maintains a last known address at 10451 Rustic Rock Rd., La Porte, TX 77571.

4. Respondents offered an investment for sale in Texas via the Craigslist forum accessible at [www.houston.craigslist.org](http://www.houston.craigslist.org). Respondents represented therein that an investment of \$30,000.00 would entitle investors to a lump sum payment of \$48,000.00 at the end of a term of two years.
5. The investment was not registered by qualification, notification or coordination and no permit was granted for its sale in Texas.
6. Respondents were not registered with the Securities Commissioner as dealers or agents at any time material hereto.

#### CONCLUSIONS OF LAW

1. The investment is a "security" as that term is defined in Section 4.A of the Texas Securities Act.
2. Respondents violated Section 7 of the Texas Securities Act by offering a security for sale in Texas at a time when the security was not registered with the Securities Commissioner.
3. Respondents violated Section 12 of the Texas Securities Act by offering a security for sale in Texas without being registered pursuant to the provisions of Section 12 of the Texas Securities Act.
4. The foregoing violations constitute bases for the issuance of an Agreed Cease and Desist Order pursuant to Section 23.A of the Texas Securities Act.

#### ORDER

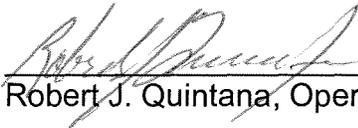
1. It is therefore ORDERED that Respondents immediately CEASE AND DESIST from offering for sale any security in Texas until the security is registered with the Securities Commissioner or is offered for sale pursuant to an exemption from registration under the Texas Securities Act.
2. It is further ORDERED that Respondents immediately CEASE AND DESIST from the offer for sale of securities in Texas until Respondents are registered with the Securities Commissioner as dealers or agents or an available exemption from registration is utilized.

SIGNED AND ENTERED by the Securities Commissioner this 12<sup>th</sup> day  
of October, 2011.

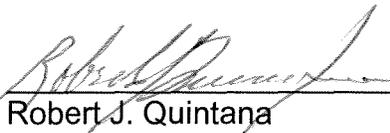
  
BENETTE L. ZIVLEY  
Securities Commissioner

Respondents:

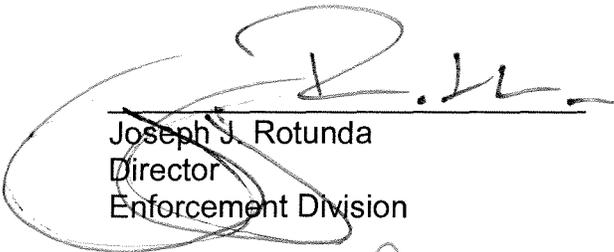
Robert J. Quintana, d/b/a  
Southern Pump and Pipeline Services

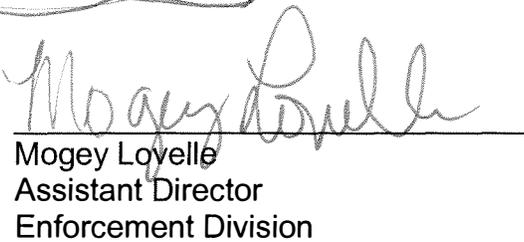
By:   
Robert J. Quintana, Operations Manager

Robert J. Quintana, individually

By:   
Robert J. Quintana

Approved as to Form:

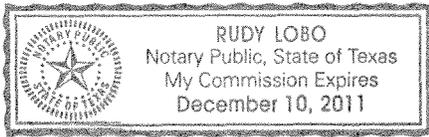
  
Joseph J. Rotunda  
Director  
Enforcement Division

  
Mogeey Lovelle  
Assistant Director  
Enforcement Division

**ACKNOWLEDGMENT**

On the 4<sup>th</sup> day of October, 2011, Robert J. Quintana d/b/a Southern Pump and Pipeline Services ("Respondent Southern"), by and through its Operations Manager, Robert J. Quintana, personally appeared before me, executed the foregoing Order and acknowledged that:

1. Robert J. Quintana is duly authorized to enter into the foregoing Order on behalf of Respondent Southern;
2. Robert J. Quintana has read the foregoing Order;
3. Respondent Southern has been fully advised of its rights under the Texas Securities Act and the Administrative Procedure Act;
4. Respondent Southern knowingly and voluntarily consents to the entry of the foregoing Order and the Findings of Fact and Conclusions of Law contained therein; and
5. Respondent Southern, by consenting to the entry of the foregoing Order, has knowingly and voluntarily waived its rights as set forth therein.



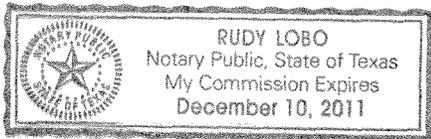
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\_\_\_\_\_  
Notary Public in and for  
the State of TEXAS  
My commission expires on: 12/10/11

**ACKNOWLEDGMENT**

On the 4<sup>th</sup> day of October, 2011, Robert J. Quintana ("Respondent Quintana"), personally appeared before me, executed the foregoing Order and acknowledged that:

1. Respondent Quintana has read the foregoing Order;
2. Respondent Quintana has been fully advised of his rights under the Texas Securities Act and the Administrative Procedure Act;
3. Respondent Quintana knowingly and voluntarily consents to the entry of the foregoing Order and the Findings of Fact and Conclusions of Law contained therein; and
4. Respondent Quintana, by consenting to the entry of the foregoing Order, has knowingly and voluntarily waived his rights as set forth therein.



[affix notary seal here]

  
\_\_\_\_\_  
Notary Public in and for  
the State of TEXAS  
My commission expires on: 12/10/11