

DENISE VOIGT CRAWFORD  
SECURITIES COMMISSIONER



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Mail: P.O. BOX 13167  
AUSTIN, TEXAS 78711-3167

## Texas State Securities Board

208 E. 10th Street, 5th Floor  
Austin, Texas 78701-2407  
www.ssb.state.tx.us

Phone: (512) 305-8300  
Facsimile: (512) 305-8310

IN THE MATTER OF  
ROLANDO GOMEZ

§  
§

Order No. ENF-09-CDO-1678

TO: Rolando Gomez  
c/o John M. Pinckney, III  
Strasburger & Price, LLP  
300 Convent Street, Suite 900  
San Antonio, Texas 78205

### AGREED CEASE AND DESIST ORDER

*Deputy* Be it remembered that Rolando Gomez ("Respondent") appeared before the *Deputy* Securities Commissioner of the State of Texas (*Deputy* Securities Commissioner") and consented to the entry of this Order and the Findings of Fact and Conclusions of Law contained herein.

### FINDINGS OF FACT

1. Respondent has waived (a) Respondent's right to notice and a hearing in this matter; (b) Respondent's right to appear and present evidence in this matter; (c) Respondent's right to appeal this Order; and (d) all other procedural rights granted to Respondent by The Securities Act, TEX. REV. CIV. STAT. ANN. art. 581-1 et seq. (Vernon 1964 & Supp. 2008) ("Texas Securities Act"), and the Administrative Procedure Act, TEX. GOV'T CODE ANN. § 2001.001 et seq. (Vernon 2000 & Supp. 2008) ("Administrative Procedure Act").
2. Respondent maintains a last known address of 24385 Wilderness Oak #9402, San Antonio, Texas 78258.
3. Respondent has offered for sale in Texas membership interests in a Limited Liability Company.
4. Respondent represented that the Limited Liability Company would be the owner and creator of a video project and that the Limited Liability Company would sell the video in DVD format to consumers. Proceeds from the sale of the DVDs

would be for the benefit of the Limited Liability Company and the purchasers of the membership interests.

5. The interests were not registered by qualification, notification or coordination and no permit has been granted for their sale in Texas.
6. Respondent was not registered with the Securities Commissioner as a dealer or agent at any time material hereto.

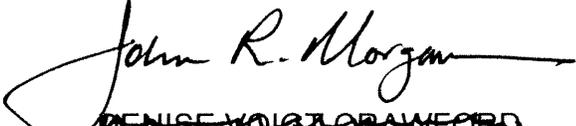
#### CONCLUSIONS OF LAW

1. The above-described membership interests are "securities" as that term is defined in Section 4.A of the Texas Securities Act.
2. Respondent has violated Section 7 of the Texas Securities Act by offering securities for sale in Texas at a time when the securities were not registered with the Securities Commissioner.
3. Respondent has violated Section 12 of the Texas Securities Act by offering securities for sale in Texas without being registered pursuant to the provisions of Section 12 of the Texas Securities Act.
4. The foregoing violations constitute bases for the issuance of a Cease and Desist Order pursuant to Section 23.A of the Texas Securities Act.

#### ORDER

1. It is therefore ORDERED that Respondent immediately CEASE AND DESIST from offering for sale any security in Texas until the security is registered with the Securities Commissioner or is offered for sale pursuant to an exemption from registration under the Texas Securities Act.
2. It is further ORDERED that Respondent immediately CEASE AND DESIST from the offer and sale of securities in Texas until Respondent is registered with the Securities Commissioner as a dealer or agent or an available exemption from registration is utilized.

SIGNED AND ENTERED by the <sup>Deputy</sup> ~~Deputy~~ Securities Commissioner this 13<sup>th</sup> day of October, 2009.

  
~~DENISE VOIGT CRAWFORD~~  
<sup>Deputy</sup> Securities Commissioner

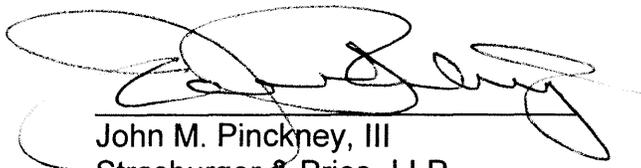
Respondent:

  
ROLANDO GOMEZ, Individually

Approved as to Form:

  
Joe Rotunda  
Director  
Enforcement Division

  
L. Judith Saenz  
Attorney  
Enforcement Division

  
John M. Pinckney, III  
Strasburger & Price, LLP  
300 Convent Street, Suite 900  
San Antonio, Texas 78205

**ACKNOWLEDGMENT**

On the 8th day of September, 2009, Rolando Gomez ("Respondent") personally appeared before me, executed the foregoing Order and acknowledged that:

1. Respondent has read the foregoing Order;
2. Respondent has been fully advised of his rights under the Texas Securities Act and the Administrative Procedure Act;
3. Respondent knowingly and voluntarily consents to the entry of the foregoing Order and the Findings of Fact and Conclusions of Law contained therein; and,
4. Respondent, by consenting to the entry of the foregoing Order, has knowingly and voluntarily waived his rights as set forth therein.

Rosalie Ochoa

Notary Public in and for  
the State of Texas

My commission expires on: 8-26-2010

[affix notary seal here]

