

DENISE VOIGT CRAWFORD  
SECURITIES COMMISSIONER



JOSE ADAN TREVINO  
CHAIRMAN

NICHOLAS C. TAYLOR  
MEMBER

KENNETH W. ANDERSON, JR.  
MEMBER

JACK D. LADD  
MEMBER

JOHN R. MORGAN  
DEPUTY SECURITIES COMMISSIONER

## State Securities Board

MAIL: P.O. BOX 13167  
AUSTIN, TEXAS 78711-3167

208 E. 10th Street, 5th Floor  
Austin, Texas 78701  
Phone (512) 305-8300  
FAX (512) 305-8310  
<http://www.ssb.state.tx.us>

Docket No. 02-32

IN THE MATTER OF THE  
DEALER REGISTRATION OF  
J.P. GIBBONS & CO., INC.

Board Order No. REV-1477

TO: J.P. Gibbons & Co., Inc.  
ATTN: Alberto John Aviles  
711 Third Ave., 18th Floor  
New York, NY 10017

### EX PARTE ORDER REVOKING REGISTRATION AS A SECURITIES DEALER

Be it remembered that on May 6, 2002, certified notice was directed to J.P. Gibbons & Co., Inc., hereinafter referred to as "Respondent", of the intent to revoke the registration of Respondent as a securities dealer for failure to provide information previously requested by the Staff of the State Securities Board on behalf of the Securities Commissioner of the State of Texas (hereinafter referred to as "the Securities Commissioner"). On this, September 27, 2002, evidence was presented to the Deputy Securities Commissioner of the State of Texas (hereinafter referred to as "the Deputy Securities Commissioner") by the Staff of the State Securities Board to determine whether the registration of Respondent should be revoked. The Deputy Securities Commissioner, being fully advised of the premises herein, finds that:

#### FINDINGS OF FACT

1. On or about May 21, 1996, Respondent became registered with the Securities Commissioner as a securities dealer in the State of Texas.
2. In a letter dated February 12, 2001, the Staff requested documentation relating to the Respondent's designated officer. This letter was returned marked by the U.S. Postal Service as "Moved Left No Address."
3. Notice, by certified letter dated May 6, 2002, was mailed to Respondent of the intent to revoke Respondent's registration. This letter was returned marked by the U.S. Postal Service as "Moved Left No Address."
4. The Central Registration Depository (CRD) lists that Respondent's SEC registration status was cancelled effective November 30, 2001. The CRD lists Respondent's NASD registration cancelled effective July 31, 2000.

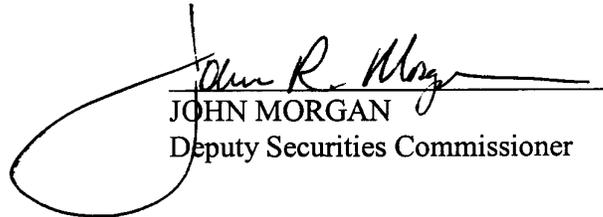
CONCLUSIONS OF LAW

1. Respondent has failed to maintain valid contact information for the firm. Reasonable attempts were made to give notice to Respondent of the intent to revoke Respondent's registration as a securities dealer.
2. Section 14.A(7) of The Securities Act authorizes the Securities Commissioner to revoke the registration of Respondent upon finding that Respondent has failed to provide information requested by the Securities Commissioner deemed necessary to determine Respondent's business repute or qualifications.

ORDER

It is therefore ORDERED that the registration of J. P. Gibbons & Co., as a securities dealer, hereby be REVOKED.

SIGNED AND ENTERED THIS twenty-seventh day of September, 2002.

  
JOHN MORGAN  
Deputy Securities Commissioner