



State Securities Board

SSB Docket No. 00-024

IN THE MATTER OF THE APPLICATION FOR  
THE AGENT REGISTRATION OF  
MATTHEW TRAN

§  
§  
§

Order No. CEN-1405

To: Matthew Tran (CRD # 3277207)  
11822 Plumpoint Dr.  
Houston, TX 77099

DISCIPLINARY ORDER REPRIMANDING AN AGENT

Be it remembered that Matthew Tran ("Respondent"), appeared before the Securities Commissioner of the State of Texas ("Securities Commissioner") and consented to the entry of this Order, and the Findings of Fact and Conclusions of Law contained herein.

FINDINGS OF FACT

1. Respondent has waived (a) Respondent's right to notice and hearing in this matter; (b) Respondent's right to appear and present evidence in this matter; (c) Respondent's right to appeal this Order; and (d) all other procedural rights granted to Respondent by The Securities Act, TEX. REV. CIV. STAT. ANN. art. 581-1 et seq. (Vernon 1964 & Supp. 2000)("Texas Securities Act"), and the Administrative Procedure Act, TEX. GOV'T CODE ANN. §2001.001 et seq. (Vernon Supp. 2000)("Administrative Procedure Act").
2. Respondent applied for registration with the Securities Commissioner as an agent of Lauren Capital Corporation on or about September 27, 2000. Said application is currently pending before the Securities Commissioner.
3. Respondent was employed by Supertrade Securities, Inc., a registered securities dealer, from on or about July 14, 1999 to on or about September 20, 2000. Respondent filed an application for registration as an agent of Supertrade Securities, Inc. on or about July 5, 1999. Said application was withdrawn on or about September 29, 2000.
4. While employed by Supertrade Securities, Inc., Respondent acted as an agent of

Supertrade Securities, Inc. without being registered with the Securities Commissioner as required by Section 12 of the Texas Securities Act.

CONCLUSIONS OF LAW

1. Pursuant to Section 14.A(6) of the Texas Securities Act, the foregoing violation of the Texas Securities Act constitutes a basis for the issuance of an order reprimanding an agent.

ORDER

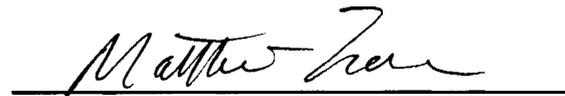
1. It is therefore ORDERED that the registration of Respondent Matthew Tran as an agent of Lauren Capital Corporation is hereby GRANTED;
2. It is further ORDERED that Respondent is hereby REPRIMANDED.

SIGNED AND ENTERED BY THE SECURITIES COMMISSIONER this 23<sup>rd</sup> day of October, 2000.

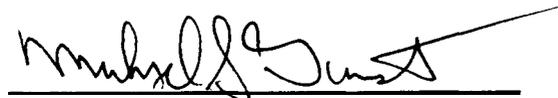


DENISE VOIGT CRAWFORD  
Securities Commissioner

Respondent:

  
Matthew Tran

Approved as to Form:



Michael S. Gunst, Director  
Dealer Registration Division



Sonia M. Mayo, Attorney  
Enforcement Division

**ACKNOWLEDGMENT**

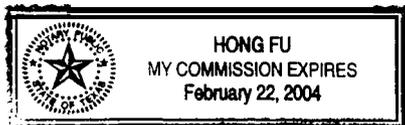
On the 19<sup>TH</sup> day of October, 2000, Matthew Tran ("Respondent Tran") personally appeared before me, executed the foregoing Order and acknowledged that:

1. Respondent Tran has read the foregoing Order
2. Respondent Tran has been fully advised of Respondent's rights under the Texas Securities Act and the Administrative Procedure Act;
3. Respondent Tran knowingly and voluntarily consents to the entry of the foregoing Order and the Findings of Fact and Conclusions of Law contained therein; and,
4. Respondent Tran, by consenting to the entry of the foregoing Order, has knowingly and voluntarily waived his rights as set forth therein.



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Notary Public in and for  
the State of Texas

[affix notary seal here]



My commission expires on:

Feb. 22, 2004