

DENISE VOIGT CRAWFORD
SECURITIES COMMISSIONER



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KENNETH W. ANDERSON, JR.
MEMBER

SSB Docket No. 00-013

IN THE MATTER OF THE APPLICATION
FOR THE INVESTMENT ADVISER
REGISTRATION OF THOMAS RAYMOND
TEMPLE

§
§
§
§

Order No. CAF/SUS-1394

TO: Thomas Raymond Temple (CRD #854767, File #30589)
9911 Berry Limb Drive
Houston, Texas 77099

DISCIPLINARY ORDER REPRIMANDING AN INVESTMENT ADVISER

Be it remembered that Thomas Raymond Temple ("Respondent"), appeared before the Securities Commissioner of the State of Texas ("Securities Commissioner") and consented to the entry of this Order and, without admitting or denying them, the Findings of Fact and Conclusions of Law contained herein, except the first and fourth Findings of Fact which are admitted.

FINDINGS OF FACT

- Respondent has waived (a) Respondent's right to notice and hearing in this matter; (b) Respondent's right to appear and present evidence in this matter; (c) Respondent's right to appeal this Order; and (d) all other procedural rights granted to Respondent by The Securities Act, TEX. REV. CIV. STAT. Ann. art. 581-1 et seq. (Vernon 1964 & Supp. 2000)("Texas Securities Act"), and the Administrative Procedure Act, TEX. GOV'T CODE ANN. §2001.001 et seq. (Vernon Supp. 2000) ("Administrative Procedure Act").
- Respondent has filed an application for registration with the Securities Commissioner as an investment adviser.
- Respondent has rendered services as an investment adviser at a time when he was not registered with the Securities Commissioner as required by Section 12 of the Texas Securities Act.

4. Respondent has cooperated with the Staff in its investigation.

CONCLUSIONS OF LAW

1. Pursuant to Section 14.A(6) of the Texas Securities Act, the foregoing violations constitute bases for the issuance of an order suspending Respondent's registration and reprimanding Respondent.
2. Pursuant to Section 23-1 of the Texas Securities Act, the foregoing violations constitute bases for the issuance of an order assessing an administrative fine against Respondent.

ORDER

1. It is therefore ORDERED that the investment adviser registration of Thomas Raymond Temple as an investment adviser is hereby GRANTED.
2. It is further ORDERED that the investment adviser registration of Thomas Raymond Temple is hereby SUSPENDED for a period of fifteen (15) days from the date of the entry of this Order by the Securities Commissioner.
3. It is further ORDERED that Thomas Raymond Temple is hereby REPRIMANDED.
4. It is further ORDERED that Thomas Raymond Temple is ASSESSED AN ADMINISTRATIVE FINE in the amount of One Thousand Two Hundred Fifty Dollars (\$1,250.00). Payment shall be made by delivery of a cashier's check to the Securities Commissioner in the amount of One Thousand Two Hundred Fifty Dollars (\$1,250.00), payable to the State of Texas, contemporaneously with the delivery of this Order.

SIGNED AND ENTERED BY THE SECURITIES COMMISSIONER this 9th day
of May, 2000.



DENISE VOIGT CRAWFORD
Securities Commissioner

Respondent:


Thomas Raymond Temple

Approved as to Form:



Michael S. Gunst, Director
Dealer Registration Division



William M. King
Counsel for Respondent



David A. Grauer
Director
Enforcement Division



Charles S. Neal
Assistant Director
Enforcement Division

ACKNOWLEDGMENT

On the 6 day of May, 2000, Thomas Raymond Temple ("Respondent"), personally appeared before me, executed the foregoing Order, and acknowledged that:

1. Respondent has read the foregoing Order;
2. Respondent has been fully advised of his rights under the Texas Securities Act and the Administrative Procedure Act;
3. Respondent knowingly and voluntarily consents to the entry of the foregoing Order and without admitting or denying them, the Findings of Fact and Conclusions of Law contained therein, except the first and fourth Findings of Fact which are admitted; and,
4. Respondent, by consenting to the entry of the foregoing Order, has knowingly and voluntarily waived his rights as set forth therein.

Ekube Tekleab
Notary Public in and for
the State of TEXAS

[affix notary seal here]

My commission expires on:

