

DENISE VOIGT CRAWFORD
SECURITIES COMMISSIONER



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State Securities Board

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KENNETH W. ANDERSON, JR.
MEMBER

SSB Docket No. 00-006

IN THE MATTER OF THE
DEALER REGISTRATION OF
BURKE, LAWTON, BREWER & BURKE
AND THE AGENT REGISTRATION OF
FRANKLIN ARDEN BURKE

§
§
§
§
§

Order No. CAF-1387

TO: Burke, Lawton, Brewer & Burke (B/D # 1346)
Franklin Arden Burke, Managing Partner
P. O. Box 950-C
Spring House, PA 19477

Franklin Arden Burke (CRD # 35735)
Cedar Crest Farm
3725 Bristol Road
Chalfont, PA 18914

DISCIPLINARY ORDER REPRIMANDING A DEALER AND AN AGENT

Be it remembered that Burke, Lawton, Brewer & Burke ("Respondent BLBB"), by and through its managing partner, Franklin Arden Burke, and Franklin Arden Burke, individually, ("Respondent Burke"), appeared before the Securities Commissioner of the State of Texas ("Securities Commissioner") and consented to the entry of this Order, and the Findings of Fact and the Conclusions of Law contained herein.

FINDINGS OF FACT

1. Respondents have waived (a) Respondents' right to notice and hearing in this matter; (b) Respondents' right to appear and present evidence in this matter; (c) Respondents' right to appeal this Order; and (d) all other procedural rights granted to Respondents by The Securities Act, TEX. REV. CIV. STAT. ANN. art. 581-1 et seq. (Vernon 1964 & Supp. 2000) ("Texas Securities Act"), and the Administrative Procedure Act, TEX. GOV'T CODE ANN. § 2001.001 et seq. (Vernon Supp. 2000) ("Administrative Procedure Act").

2. Respondent BLBB is a partnership located at 516 N. Bethlehem Pike, Ambler, Pennsylvania. Respondent Burke is the managing partner and a securities agent of the partnership.
3. Respondent BLBB and Respondent Burke have filed applications for registration with the Securities Commissioner as a dealer and agent respectively.
4. Respondent BLBB has acted as a dealer in Texas without being registered with the Securities Commissioner as required by Section 12 of the Texas Securities Act.
5. Respondent Burke has acted as an agent in Texas without being registered with the Securities Commissioner as required by Section 12 of the Texas Securities Act.

CONCLUSIONS OF LAW

1. Pursuant to Section 14.A (5) and (6) of the Texas Securities Act, the foregoing violations constitute bases for the issuance of an order reprimanding a dealer and an agent.
2. Pursuant to Section 23-1 of the Texas Securities Act, the foregoing violations constitute bases for the issuance of an order assessing an administrative fine against a dealer and an agent.

ORDER

1. It is therefore ORDERED that the registrations of Burke, Lawton, Brewer & Burke as a dealer and Franklin Arden Burke as an agent are hereby GRANTED.
2. It is further ORDERED that Respondents are hereby REPRIMANDED.
3. It is further ORDERED that Respondent BLBB is hereby ASSESSED AN ADMINISTRATIVE FINE in the amount of Seven Thousand Five Hundred Dollars (\$7,500.00). Payment shall be made by delivery of a cashier's check to the Securities Commissioner in the amount of Seven Thousand Five Hundred Dollars (\$7,500.00), payable to the State of Texas, contemporaneously with the delivery of this Order.
4. It is further ORDERED that Respondent Burke is hereby ASSESSED AN ADMINISTRATIVE FINE in the amount of Two Thousand Five Hundred Dollars (\$2,500.00). Payment shall be made by delivery of a cashier's check to the Securities Commissioner in the amount of Two Thousand Five Hundred Dollars (\$2,500.00), payable to the State of Texas, contemporaneously with the delivery of this Order.

SIGNED AND ENTERED BY THE SECURITIES COMMISSIONER this 31st day of January, 2000.

Denise Voigt Crawford

DENISE VOIGT CRAWFORD
Securities Commissioner

Respondents:

Burke, Lawton, Brewer & Burke

Burke, Lawton, Brewer & Burke

Franklin Arden Burke

By: Franklin Arden Burke
Managing Partner

Franklin Arden Burke

Franklin Arden Burke, Individually

Approved as to Form:

Charles S. Neal for

Michael S. Gunst
Director
Dealer Registration Division

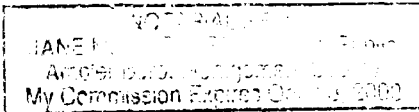
Charles S. Neal

Charles S. Neal
Assistant Director
Enforcement Division

ACKNOWLEDGMENT

On the 14 day of January, 2000, Burke, Lawton, Brewer & Burke ("Respondent BLBB"), by and through Franklin Arden Burke, its managing partner, personally appeared before me, executed the foregoing Order, and acknowledged that:

1. Franklin Arden Burke is duly authorized to enter into the foregoing Order on behalf of Respondent BLBB;
2. Franklin Arden Burke has read the foregoing Order;
3. Respondent BLBB has been fully advised of its rights under the Texas Securities Act and the Administrative Procedure Act;
4. Respondent BLBB knowingly and voluntarily consents to the entry of the foregoing Order; and,
5. Respondent BLBB, by consenting to the entry of the foregoing Order, has knowingly and voluntarily waived its rights as set forth therein.



[affix notary seal here]

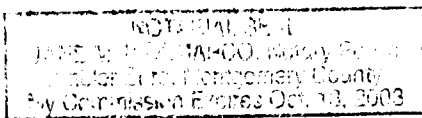
Jane Mary Tademan
Notary Public in and for
the State of Pennsylvania

My commission expires on: Oct 13, 2003

ACKNOWLEDGMENT

On the 24 day of January, 2000, Franklin Arden Burke ("Respondent Burke"), appeared before me, executed the foregoing Order, and acknowledged that:

1. Respondent Burke has read the foregoing Order;
2. Respondent Burke has been fully advised of his rights under the Texas Securities Act and the Administrative Procedure Act;
3. Respondent Burke knowingly and voluntarily consents to the entry of the foregoing Order; and,
4. Respondent Burke, by consenting to the entry of the foregoing Order, has knowingly and voluntarily waived his rights as set forth therein.



[affix notary seal here]

Jane Mary Tademan
Notary Public in and for
the State of Pennsylvania

My commission expires on: Oct 13, 2003