

DENISE VOIGT CRAWFORD  
SECURITIES COMMISSIONER



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### State Securities Board

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KENNETH W. ANDERSON, JR.  
MEMBER

SSB Docket No. 99-042

IN THE MATTER OF THE APPLICATIONS  
FOR THE DEALER REGISTRATION OF  
PREMIER GROUP, INC. AND THE AGENT  
REGISTRATIONS OF HUGH JOHN GRAHAM  
AND ROBERT ALLEN STINZIANO

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§

Order No. CAF-1371

TO: Hugh John Graham, President  
Premier Group, Inc. (B/D # 47346)  
8610 Broadway, Suite 100  
San Antonio, Texas 78217

Hugh John Graham (CRD # 1542345)  
14018 Mission Woods  
San Antonio, Texas 78249

Robert Allen Stinziano (CRD # 1031884)  
13731 Forest Rock  
San Antonio, Texas 78231

#### DISCIPLINARY ORDER AND UNDERTAKING REPRIMANDING TWO AGENTS

Be it remembered that Premier Group, Inc. ("Respondent Premier"), by and through its president, Hugh John Graham; Hugh John Graham ("Respondent Graham"), individually; and Robert Allen Stinziano ("Respondent Stinziano"), individually, appeared before the Securities Commissioner of the State of Texas ("Securities Commissioner") and consented to the entry of this Order and the Findings of Fact, Conclusions of Law, and Undertaking contained herein.

#### FINDINGS OF FACT

1. Respondents have waived (a) Respondents' right to notice and hearing in this

matter; (b) Respondents' right to appear and present evidence in this matter; (c) Respondents' right to appeal this Order; and (d) all other procedural rights granted to Respondents by The Securities Act, TEX. REV. CIV. STAT. Ann. art. 581-1 et seq. (Vernon 1964 & Supp. 1999)("Texas Securities Act"), and the Administrative Procedure Act, TEX. GOV'T CODE ANN. § 2001.001 et seq. (Vernon Supp. 1999) ("Administrative Procedure Act").

2. Respondent Premier has filed an application for registration with the Securities Commissioner as a dealer. Respondent Graham has filed an application with the Securities Commissioner as an agent and the designated officer of Respondent Premier. Respondent Stinziano has filed an application as an agent of Respondent Premier.
3. Respondent Premier is affiliated with Premier Minerals, Inc. and will serve as the dealer offering its securities.
4. Respondents Graham and Stinziano have offered for sale and sold securities which were not registered with the Securities Commissioner as required by Section 7 of the Texas Securities Act.
5. Respondents Graham and Stinziano have offered for sale and sold securities at a time when they were not registered with the Securities Commissioner as required by Section 12 of the Texas Securities Act.

#### CONCLUSIONS OF LAW

1. Pursuant to Section 14.A(6) of the Texas Securities Act, the foregoing violations constitute bases for the issuance of an order reprimanding Respondents Graham and Stinziano.
2. Pursuant to Section 23-1 of the Texas Securities Act, the foregoing violations constitute bases for the issuance of an order assessing an administrative fine against Respondents Graham and Stinziano.

#### UNDERTAKING

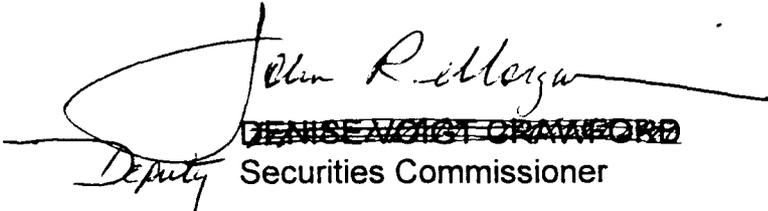
1. Respondents agree that they will not offer for sale or sell securities from within the State of Texas or to a Texas resident unless they are registered with the Securities Commissioner as required by Section 12 of the Texas Securities Act, or are exempt from the registration requirements thereof.
2. Respondents agree that they will not offer for sale or sell securities from within the State of Texas or to a Texas resident unless said securities are registered with the Securities Commissioner as required by Section 7 of the Texas Securities Act, or are exempt from the registration requirements thereof.

3. Respondent Premier agrees not to employ or use any person or entity to offer for sale or sell securities from within the State of Texas or to a Texas resident unless such person or entity is properly registered with the Securities Commissioner.
4. Respondent Premier agrees to establish a system to ensure that neither it nor its agents offer for sale or sell securities in states where they are not properly registered.

ORDER

1. It is therefore ORDERED that the dealer registration of Premier Group, Inc. and the registrations of Hugh John Graham and Robert Allen Stinziano as agents of Premier Group, Inc. are hereby GRANTED.
2. It is further ORDERED that Premier Group, Inc.; Hugh John Graham; and Robert Allen Stinziano SHALL COMPLY with the terms of the Undertaking as set forth herein.
3. It is further ORDERED that Hugh John Graham and Robert Allen Stinziano are hereby REPRIMANDED.
4. It is further ORDERED that Hugh John Graham is ASSESSED AN ADMINISTRATIVE FINE in the amount of Five Thousand Dollars (\$5,000.00). Payment shall be made by delivery of a cashier's check to the Securities Commissioner in the amount of Five Thousand Dollars (\$5,000.00), payable to the State of Texas, contemporaneously with the delivery of this Order.
5. It is further ORDERED that Robert Allen Stinziano is ASSESSED AN ADMINISTRATIVE FINE in the amount of Five Thousand Dollars (\$5,000.00). Payment shall be made by delivery of a cashier's check to the Securities Commissioner in the amount of Five Thousand Dollars (\$5,000.00), payable to the State of Texas, contemporaneously with the delivery of this Order.

SIGNED AND ENTERED BY THE SECURITIES COMMISSIONER this 29th day of September, 1999.

  
~~DEANSEAN GUST CRAWFORD~~  
Deputy Securities Commissioner

Respondents:



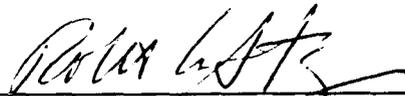
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Premier Group, Inc.  
By: Hugh John Graham, President



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Hugh John Graham, Individually



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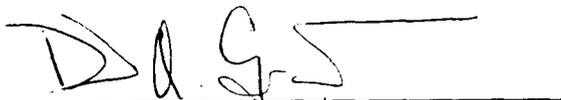
Robert Allen Stinziano, Individually

Approved as to Form:



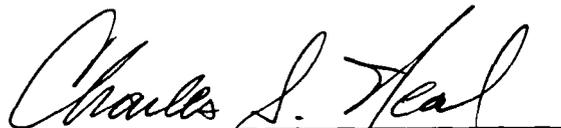
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Michael S. Gunst, Director  
Dealer Registration Division



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David A. Grauer, Director  
Enforcement Division



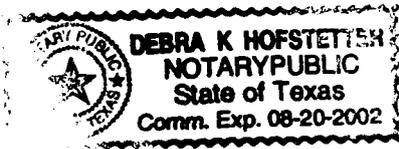
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Charles S. Neal, Assistant Director  
Enforcement Division

ACKNOWLEDGMENT

On the 27<sup>th</sup> day of Sept., 1999, Premier Group, Inc. ("Respondent Premier"), by and through, Hugh John Graham, its president, personally appeared before me, executed the foregoing Order, and acknowledged that:

1. Hugh John Graham is duly authorized to enter into the foregoing Order on behalf of Respondent Premier;
2. Hugh John Graham has read the foregoing Order;
3. Respondent Premier has been fully advised of its rights under the Texas Securities Act and the Administrative Procedure Act;
4. Respondent Premier knowingly and voluntarily consents to the entry of the foregoing Order and the Findings of Fact, Conclusions of Law, and Undertaking contained therein; and,
5. Respondent Premier, by consenting to the entry of the foregoing Order, has knowingly and voluntarily waived its rights as set forth therein.



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*[Handwritten signature]*

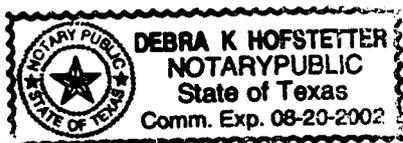
*Debra K Hofstetter*  
Notary Public in and for  
the State of *Texas*

My commission expires on: *8/20/2002*

ACKNOWLEDGMENT

On the 27<sup>th</sup> day of Sept, 1999, Hugh John Graham ("Respondent Graham"), personally appeared before me, executed the foregoing Order, and acknowledged that:

1. Respondent Graham has read the foregoing Order;
2. Respondent Graham has been fully advised of his rights under the Texas Securities Act and the Administrative Procedure Act;
3. Respondent Graham knowingly and voluntarily consents to the entry of the foregoing Order and the Findings of Fact, Conclusions of Law, and Undertaking contained therein; and,
4. Respondent Graham, by consenting to the entry of the foregoing Order, has knowingly and voluntarily waived his rights as set forth therein.



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Debra K Hofstetter  
Notary Public in and for  
the State of Texas

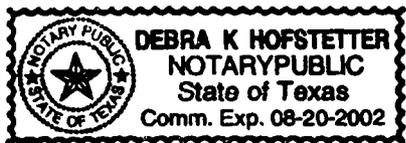
My commission expires on: 8/20/2002

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ACKNOWLEDGMENT

On the 27<sup>th</sup> day of Sept., 1999, Robert Allen Stinziano ("Respondent Stinziano"), personally appeared before me, executed the foregoing Order, and acknowledged that:

1. Respondent Stinziano has read the foregoing Order;
2. Respondent Stinziano has been fully advised of his rights under the Texas Securities Act and the Administrative Procedure Act;
3. Respondent Stinziano knowingly and voluntarily consents to the entry of the foregoing Order and the Findings of Fact, Conclusions of Law, and Undertaking contained therein; and,
4. Respondent Stinziano, by consenting to the entry of the foregoing Order, has knowingly and voluntarily waived his rights as set forth therein.



[affix notary seal here]

Debra K Hofstetter  
Notary Public in and for  
the State of Texas

My commission expires on: 8/20/2002

Robert A. Stinziano