



### State Securities Board

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KENNETH W. ANDERSON, JR.  
MEMBER

SSB Docket No. 99-041

IN THE MATTER OF THE APPLICATION  
FOR AGENT REGISTRATION OF  
DANIEL EUGENE BOYD

§  
§  
§

Order No. CAF-1370

To: Daniel Eugene Boyd (CRD #1847819)  
4000 Parkside Ctr Blvd # 1205  
Farmers Branch, TX 75244

### DISCIPLINARY ORDER REPRIMANDING AN AGENT

Be it remembered that Daniel Eugene Boyd ("Respondent"), appeared before the Securities Commissioner of the State of Texas ("Securities Commissioner") and consented to the entry of this Order and the Findings of Fact and the Conclusions of Law contained herein.

#### FINDINGS OF FACT

1. Respondent has waived (a) Respondent's right to notice and hearing in this matter; (b) Respondent's right to appear and present evidence in this matter; (c) Respondent's right to appeal this Order; and (d) all other procedural rights granted to Respondent by The Securities Act, TEX. REV. CIV. STAT. ANN. art. 581-1 et seq. (Vernon 1964 & Supp. 1999)("Texas Securities Act"), and the Administrative Procedure Act, TEX. GOV'T CODE ANN. §2001.001 et seq. (Vernon Supp. 1999)("Administrative Procedure Act").
2. Respondent has applied for registration with the Securities Commissioner as an agent of Redstone Securities, Inc.
3. While previously registered with the Securities Commissioner, Respondent failed to timely disclose an NASD and a consumer-initiated action required to be disclosed to the Securities Commissioner on Form U-4.
4. Section 115.1(g) of the Rules and Regulations of the State Securities Board of Texas ("Board Rules") requires registrants to report, within 30 days, any change in information previously filed with the Commissioner.

5. Pursuant to §101.2(c) of the Board Rules, certain forms required to be filed with the Securities Commissioner, including Form U-4, have been adopted as Board Rules.

### CONCLUSIONS OF LAW

1. A failure to disclose information required by Form U-4 constitutes a violation of a Board Rule.
2. The foregoing rule violations constitute violations of Sections 14.A(6) and 14.A(7) of the Texas Securities Act, which are bases for the issuance of an order reprimanding an agent.
3. Pursuant to Section 23-1 of the Texas Securities Act, the foregoing violations of the Texas Securities Act and Board Rules constitute bases for the issuance of an order assessing an administrative fine against an agent.

### ORDER

1. It is therefore ORDERED that the registration of Respondent Daniel Eugene Boyd as an agent of Redstone Securities, Inc. is hereby GRANTED;
2. It is further ORDERED that Respondent is hereby REPRIMANDED;
3. It is further ORDERED that Respondent shall pay an ADMINISTRATIVE FINE in the amount of Three Thousand Dollars (\$3,000.00). Payment shall be made by delivery of a cashier's check to the Securities Commissioner in the amount of Three Thousand Dollars (\$3,000.00), payable to the State of Texas, contemporaneously with the delivery of this Order.

SIGNED AND ENTERED BY THE SECURITIES COMMISSIONER this <sup>27th</sup> ~~28th~~ day of SEPTEMBER, 1999.

  
~~DEANISE VICTORIA CERRATO~~  
DEPUTY Securities Commissioner

Respondent:  
Daniel Eugene Boyd



Approved as to  
Form:



Michael S. Gunst, Director  
Dealer Registration Division



Charles S. Neal, Assistant Director  
Enforcement Division

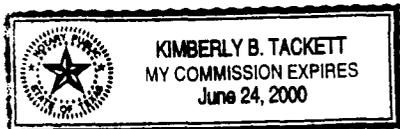
### ACKNOWLEDGMENT

On the 8th day of Sept., 1999, Daniel Eugene Boyd, Respondent, personally appeared before me, executed the foregoing Order, and acknowledged that:

1. Respondent has read the foregoing Order;
2. Respondent has been fully advised of Respondent's rights under the Texas Securities Act and the Administrative Procedure Act;
3. Respondent knowingly and voluntarily consents to the entry of the foregoing Order and the Findings of Fact and Conclusion of Law contained therein; and,
4. Respondent, by consenting to the entry of the foregoing Order, has knowingly and voluntarily waived Respondent's rights as set forth therein.

  
Notary Public in and for  
the State of Texas

[affix notary seal here]



My commission expires on:

6/24/00