



## State Securities Board

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JOSE ADAN TREVINO  
MEMBER

SSB Docket No. 99-040

IN THE MATTER OF  
THE DEALER REGISTRATION OF  
TIGER INVESTMENT GROUP, INC.

Board Order No. REV-1369

TO: Tiger Investment Group, Inc.  
Attn. Registration/Compliance  
1601 Trapelo Rd  
Waltham MA 02154-7354

EX PARTE ORDER REVOKING REGISTRATION  
AS A SECURITIES DEALER

Be it remembered that on May 20, 1999, certified notice was directed to Tiger Investment Group, Inc., hereinafter referred to as "Respondent," of the intent to revoke the registration of Respondent as a securities dealer for failure to provide information previously requested by the Staff of the State Securities Board on behalf of the Securities Commissioner. On this, the 29th of June 1999, evidence was presented to the Deputy Commissioner by the Staff of the State Securities Board to determine whether the registration of Respondent should be revoked. The Deputy Commissioner, being fully advised in the premises herein, finds that:

FINDINGS OF FACT

1. On or about December 12, 1997, Respondent became registered as a securities dealer in the State of Texas.
2. In a letter dated November 02, 1998, the Staff requested documentation relating to Respondent's designated officer. Respondent has failed to submit the requested information. Rule 115.4(b)(1) of the Rules and Regulations of the State Securities Board requires changes in the information reflected on the evidence of registration, such as the designated officer change, to be submitted to the Securities Commissioner within 30 days, along with a \$25.00 fee.
3. Notice, by certified letter dated May 20, 1999, was sent

to Respondent of the intent to revoke Respondent's registration.

4. Neither a request for a hearing nor a response demonstrating compliance with the registration requirements has been received.
5. The Securities Commissioner has jurisdiction over the matter pursuant to Section 3 of The Securities Act, TEX. REV. CIV. STAT. ANN. Art. 581 (Vernon 1964 & Supp. 1997).

CONCLUSIONS OF LAW

1. Reasonable notice was given to Respondent of the intent to revoke Respondent's registration as a securities dealer.
2. Sections 14.A(6) and 14.A(7) of The Securities Act authorize the Securities Commissioner to revoke the registration of Respondent upon finding Respondent to have violated any provision of The Securities Act or rule of the Board, or for failure to provide information requested by the Securities Commissioner, respectively.

ORDER

It is therefore ORDERED that the registration of Tiger Investment Group, Inc., as a securities dealer hereby be REVOKED.

SIGNED AND ENTERED THIS 7th day of July, 1999.

  
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JOHN MORGAN  
Deputy Securities Commissioner