



# Texas State Securities Board

## PURCHASE ORDER

**PO #: 312-18-112**

**Vendor Info:**  
**Name:** Garza/Gonzalez & Associates  
**TIN:** 17418674663  
**Address:** 207 Arden Grove  
 San Antonio, TX 78215

**Bill To:** Texas State Securities Board  
 P.O. Box 13167  
 Austin, Texas 78711-3167

**Ship To:** Texas State Securities Board

**NOTE TO CONTRACTOR:**  
 The State of Texas is exempt from all Federal Excise Taxes except as noted on each contract.

**STATE AND CITY SALES TAX EXEMPTION CERTIFICATE:**  
 The undersigned claims an exemption from taxes under Texas Tax Code, Section 151.309(4), for purchase of tangible personal property described in this numbered order, purchased from contractor and/or shipper listed above, as this property is being secured for the exclusive use of the State of Texas.

*Jerri Lee Goldstein*  
 Jerri Goldstein  
 Texas State Securities Board  
[jgoldstein@ssb.texas.gov](mailto:jgoldstein@ssb.texas.gov)  
 Direct: (512)305-8305  
 Fax: (512)305-8327

Line #	NIGP Code	Item/Description	Quantity	Unit Price	Total
1	946-20	TSSB Internal Audit Services for FY 2018	1	\$25,000.00	\$25,000.00
		<b>Details described in the attached contract</b>			
<b>TOTAL PRICE:</b>					\$25,000.00

**Staff Services Use Only**

PCA Allocation:	Contract No:	Comp Object:	PCC:	Confidential:
ALL	Copy of letter attached	7245	E	NO

Notes:

Delivery Date(s):	Order Date:	Total Invoiced:
10/01/2017-08/31/2018	12/19/2017	NOT TO EXCEED \$25,000.00



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## PURCHASE REQUEST

Date		Division	Requested By	
12/19/2018		All	Jerri Goldstein	
Quantity	Description	ESTIMATED Unit Cost	ESTIMATED Total	
1	2018 Internal Audit Services	\$25,000.00	\$25,000.00	
			(Not to exceed)	
			<b>EST.TOTAL</b>	\$25,000.00

YES     NO

Does this transaction involve information that is required to be kept confidential by the Texas Securities Act, the Rules and Regulations of the Securities Board, or any other law or rule?

APPROVED BY: <u><i>[Signature]</i></u>	DATE: <u>12/19/17</u>
DIVISION DIRECTOR/DESIGNEE	
APPROVED BY: <u><i>[Signature]</i></u>	DATE: <u>12/19/17</u>
DIRECTOR OF STAFF SERVICES (For Purchase Orders Exceeding \$1,000)	
APPROVED BY: <u><i>[Signature]</i></u>	DATE: <u>12-21-2017</u>
COMMISSIONER/DEPUTY COMMISSIONER (For Purchase Orders Exceeding \$1,000)	

Comments for Purchaser:

REQUEST RECEIVED DATE:	PURCHASER:	PO# ASSIGNED:

# Texas State Securities Board

## Purchase Request Multiple Division Authorization

ENFORCEMENT  
APPROVED BY: \_\_\_\_\_  
DIVISION DIRECTOR/DESIGNEE

DATE: \_\_\_\_\_

SECURITIES REG.  
APPROVED BY: SOZ  
DIVISION DIRECTOR/DESIGNEE

DATE: 12-22-17

DEALER REG.  
APPROVED BY: SOZ  
DIVISION DIRECTOR/DESIGNEE

DATE: 12-22-17

INSPECTIONS  
APPROVED BY: T. J. Owen  
DIVISION DIRECTOR/DESIGNEE

DATE: 12/18/17

STAFF SERVICES  
APPROVED BY: \_\_\_\_\_  
DIVISION DIRECTOR/DESIGNEE

DATE: \_\_\_\_\_

EXECUTIVE  
APPROVED BY: \_\_\_\_\_  
DIVISION DIRECTOR/DESIGNEE

DATE: \_\_\_\_\_

GENERAL COUNSEL  
APPROVED BY: \_\_\_\_\_  
DIVISION DIRECTOR/DESIGNEE

DATE: \_\_\_\_\_