



Texas State Securities Board  
P.O. Box 13167  
Austin, Texas 78711-3167

**Investment Company Report of Sales in the State of Texas**

For the 12-Month Period Beginning \_\_\_\_\_ Ending \_\_\_\_\_

Fund: \_\_\_\_\_

Address: \_\_\_\_\_

Contact Person: \_\_\_\_\_

Email: \_\_\_\_\_

Telephone: \_\_\_\_\_

Beginning Balance	\$ _____
Additional Amounts Authorized During this Period (If Any)	\$ _____
Total Available for Sale	\$ _____
Sales During the Period	\$ _____
Ending Balance	\$ _____

We certify that the foregoing is a complete report of sales for this Fund during the period specified.

Executed the \_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

By: \_\_\_\_\_  
Signature

\_\_\_\_\_  
Printed name

\_\_\_\_\_  
Title