



Texas State Securities Board  
P.O. Box 13167  
Austin, Texas 78711-3167

**Request for Consideration of a Registration Application by a Military Applicant**  
(§115.18 or §116.18)

1. Name of Applicant: \_\_\_\_\_

2. Application Type (check all that apply):

- Dealer (sole proprietor)                       Agent  
 Investment Adviser (sole proprietor)       Investment Adviser Representative

3. Applicant CRD No.(if applicable): \_\_\_\_\_

4. Name of Employer: \_\_\_\_\_  
Employer CRD or IARD No. (if applicable): \_\_\_\_\_

5. I, the Applicant: (check all that apply)

- am a  military service member  
                   military spouse  
                   military veteran  
as those terms are defined in §115.18(a) or §116.18(a).
- hold a current registration issued by another state, the District of Columbia, or a territory of the United States. Please list all such jurisdictions where the applicant holds a comparable current registration: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_
- was previously registered in Texas within the last five years preceding the date of the application for registration for which expedited review is requested.
- wish to resolve any pending and/or deficient items identified in connection with my registration before my registration becomes effective.
- wish to become registered at the earliest possible date and acknowledge that any pending and/or deficient items identified in connection with my registration application must be corrected within 12 months after my registration is granted or my registration will be automatically terminated after that 12-month period.
- have attached verification of the military service, training, or education that I wish to apply towards the Texas registration requirements, other than the Texas examination requirements contained in §115.3 or §116.3 of the Board rules.

Form 133.4

The undersigned affirms that to the best of my knowledge, information, and belief the statements made on this form are current, true, and complete.

Executed the \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_.

By: \_\_\_\_\_  
Signature

\_\_\_\_\_  
Printed name