

NO. 9789

THE STATE OF TEXAS

IN THE 21ST JUDICIAL

VS.

DISTRICT COURT OF

LARRY STEVE BASSETT

LEE COUNTY, TEXAS

CRIMINAL INDICTMENT

OFFENSE: SECURITIES FRAUD >=\$100,000

STATUTE: 581-29(C)(4)(c)VCS

DEGREE: FIRST DEGREE FELONY

ATTORNEY FOR THE STATE: GRETA CANTWELL, TEXAS SECURITIES BOARD

WITNESS FOR THE STATE: PHILLIP FUSELIER, FINANCIAL EXAMINER, ENFORCEMENT DIVISION

BOND: _____

IN THE NAME AND BY AUTHORITY OF THE STATE OF TEXAS:

The Grand Jury for the County of Lee, State of Texas, duly selected, impaneled, sworn, charged and organized as such at the JULY Term, A.D. 2021, of the Twenty-First Judicial District Court of said County, upon their oaths present in and to said Court that LARRY STEVE BASSETT, on or about the dates listed below, and before the presentment of this indictment, in Lee County, Texas, and elsewhere, did then and there offer for sale and sell investments in a trading program, said investments being securities to wit: investment contracts and evidences of indebtedness, to each of the persons listed below and in the following amounts:

DATE	PERSON	AMOUNT
01/17/12	KLEIN, SCHUYLER	\$10,000.00
03/26/12	KLEIN, RICK	\$5,000.00
04/05/12	SPITZENBERGER, LARRY	\$10,000.00
05/18/12	SHELLY, BRITTANY	\$86,000.00
05/18/12	SPITZENBERGER, LARRY	\$10,000.00
07/02/12	FORREST, ZACHARY	\$15,000.00
09/05/12	MACK, SALLIE	\$10,000.00
01/17/13	SPITZENBERGER, LARRY	\$234,583.90
01/17/13	SPITZENBERGER, LARRY	\$3,566.46
03/08/13	SPITZENBERGER, LARRY	\$7,600.00
06/26/13	SPITZENBERGER, JENNIFER	\$30,000.00
09/09/13	SPITZENBERGER, LARRY	\$27,000.00
09/12/13	SPITZENBERGER, LARRY	\$800.00
09/19/13	SHELLY, BRITTANY AND NICK	\$3,500.00

DATE	PERSON	AMOUNT
09/19/13	SHELLY, BRITTANY AND NICK	\$3,500.00
10/21/13	SPITZENBERGER, EUGENE	\$180,000.00
12/11/13	HUTSON, EVON	\$8,000.00
01/30/14	SPITZENBERGER, LARRY	\$1,600.00
05/16/14	CHRISTIE, ANNE	\$15,000.00
10/27/14	AHRENS, BLAISE	\$50,000.00
10/29/14	MARSHALL, BRUCE	\$10,000.00
12/15/14	HUTSON, EVON	\$100,000.00
01/15/15	MARSHALL, BRUCE	\$100,000.00
03/17/15	SPENCE, HOLLINS AND DENYCE	\$12,000.00
04/06/15	SHELLY, REBECCA AND MARVIN	\$105,000.00
04/21/15	SHELLY, BRITTANY AND NICK	\$7,500.00
06/09/15	HUTSON, EVON	\$100,000.00
07/09/15	BERNEY, DONA	\$1,000.00
08/06/15	MARSHALL, BRUCE	\$40,000.00
10/28/15	MARSHALL, BRUCE	\$20,000.00
11/12/15	SPITZENBERGER, LARRY	\$56,000.00
12/10/15	BERNEY, DONA	\$250.00
12/28/15	PREUSSE, STEPHANIE AND TIMOTHY	\$10,000.00
01/11/16	BERNEY, DONA	\$1,000.00
02/04/16	BERNEY, DONA	\$1,000.00
02/16/16	SPENCE, DENYCE	\$12,266.75
03/10/16	BERNEY, DONA	\$1,000.00
04/07/16	BERNEY, DONA	\$2,000.00
05/06/16	BERNEY, DONA	\$2,000.00
05/13/16	HUTSON, EVON	\$50,000.00
05/31/16	SHELLY, BRITTANY AND NICK	\$10,000.00
05/31/16	SHELLY, BRITTANY AND NICK	\$8,250.00
06/01/16	PREUSSE, STEPHANIE AND TIMOTHY	\$648.00
06/02/16	BERNEY, DONA	\$2,000.00
07/14/16	BERNEY, DONA	\$1,000.00
07/14/16	BERNEY, DONA	\$250.00
07/18/16	SPITZENBERGER, LARRY	\$171,408.39
08/19/16	BERNEY, DONA	\$1,500.00
09/08/16	MARSHALL, BRUCE	\$27,000.00
09/08/16	BERNEY, DONA	\$2,000.00
10/03/16	BERNEY, DONA	\$2,000.00
10/21/16	HUTSON, EVON	\$1,300.00
10/31/16	SPITZENBERGER, LARRY	\$65.00
11/23/16	BERNEY, DONA	\$2,000.00
12/27/16	BERNEY, DONA	\$500.00
12/27/16	BERNEY, DONA	\$250.00
01/30/17	BERNEY, DONA	\$1,000.00

DATE	PERSON	AMOUNT
01/30/17	SPITZENBERGER, LARRY	\$100.00

and said defendant engaged in fraud in connection with the sales and offers for sale of said securities by:

PARAGRAPH ONE

knowingly and intentionally representing Defendant was successful at earning profits from trading, said information being a misrepresentation of a relevant fact; and

PARAGRAPH TWO

knowingly and intentionally representing prior investors in said trading program had earned returns on their investments, said information being a misrepresentation of a relevant fact; and

PARAGRAPH THREE

knowingly and intentionally representing the amount of earnings and principal funds in investors' accounts in the form of false account statements, said information being a misrepresentation of a relevant fact; and

PARAGRAPH FOUR

knowingly and intentionally representing the amount of interest income earned by investors in the form of false IRS Forms 1099-INT, said information being a misrepresentation of a relevant fact; and

PARAGRAPH FIVE

intentionally failing to disclose account statements provided to investors purporting to show earnings on their investments were falsified documents, said information being a material fact; and

PARAGRAPH SIX

intentionally failing to disclose account statements provided to clients purporting to show funds available for distribution were falsified documents, said information being a material fact; and

PARAGRAPH SEVEN

intentionally failing to disclose IRS Forms 1099-INT provided to investors purporting to show interest income earned on their investments were falsified documents, said information being a material fact; and

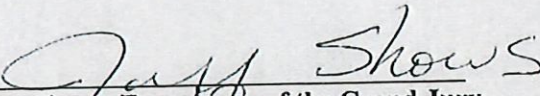
PARAGRAPH EIGHT

intentionally failing to disclose the source of distribution payments to investors were from sources other than the principal and returns attributable to the investor receiving the distribution, said information being a material fact;

and all said amounts were obtained pursuant to one scheme and continuing course of conduct, and the aggregate amount obtained was \$100,000.00 or more;

AGAINST THE PEACE AND DIGNITY OF THE STATE.

Date: October 15, 2021


Jeffrey Shows, Foreperson of the Grand Jury

NO. 9787

THE STATE OF TEXAS

IN THE 21ST JUDICIAL

VS.

DISTRICT COURT OF

LARRY STEVE BASSETT

LEE COUNTY, TEXAS

CRIMINAL INDICTMENT

OFFENSE: THEFT OF PROPERTY >=\$300,000

STATUTE: 31.03(e)(7)PC

DEGREE: FIRST DEGREE FELONY

ATTORNEY FOR THE STATE: GRETA CANTWELL, TEXAS SECURITIES BOARD

WITNESS FOR THE STATE: PHILLIP FUSELIER, FINANCIAL EXAMINER, ENFORCEMENT DIVISION

BOND: _____

IN THE NAME AND BY AUTHORITY OF THE STATE OF TEXAS:

The Grand Jury for the County of Lee, State of Texas, duly selected, impaneled, sworn, charged and organized as such at the JULY Term, A.D. 2021, of the Twenty-First Judicial District Court of said County, upon their oaths present in and to said Court that LARRY STEVE BASSETT, on or about the dates listed below, and before the presentment of this indictment, in Lee County, Texas, and elsewhere, did then and there unlawfully appropriate, to wit: acquire and exercise control over property, other than real property, to wit: current money of the United States of America, from the following owners and in the following amounts:

DATE OF APPROPRIATION	OWNER	AMOUNT OF APPROPRIATION
01/17/12	KLEIN, SCHUYLER	\$10,000.00
03/26/12	KLEIN, RICK	\$5,000.00
04/05/12	SPITZENBERGER, LARRY	\$10,000.00
05/18/12	SHELLY, BRITTANY	\$86,000.00
05/18/12	SPITZENBERGER, LARRY	\$10,000.00
07/02/12	FORREST, ZACHARY	\$15,000.00
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01/17/13	SPITZENBERGER, LARRY	\$234,583.90
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03/08/13	SPITZENBERGER, LARRY	\$7,600.00
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09/09/13	SPITZENBERGER, LARRY	\$27,000.00
09/12/13	SPITZENBERGER, LARRY	\$800.00

DATE OF APPROPRIATION	OWNER	AMOUNT OF APPROPRIATION
09/19/13	SHELLY, BRITTANY AND NICK	\$3,500.00
10/21/13	SPITZENBERGER, EUGENE	\$180,000.00
12/11/13	HUTSON, EVON	\$8,000.00
01/30/14	SPITZENBERGER, LARRY	\$1,600.00
05/16/14	CHRISTIE, ANNE	\$15,000.00
10/27/14	AHRENS, BLAISE	\$50,000.00
10/29/14	MARSHALL, BRUCE	\$10,000.00
12/15/14	HUTSON, EVON	\$100,000.00
01/15/15	MARSHALL, BRUCE	\$100,000.00
03/17/15	SPENCE, HOLLINS AND DENYCE	\$12,000.00
04/06/15	SHELLY, REBECCA AND MARVIN	\$105,000.00
04/21/15	SHELLY, BRITTANY AND NICK	\$7,500.00
06/09/15	HUTSON, EVON	\$100,000.00
07/09/15	BERNEY, DONA	\$1,000.00
08/06/15	MARSHALL, BRUCE	\$40,000.00
10/28/15	MARSHALL, BRUCE	\$20,000.00
11/12/15	SPITZENBERGER, LARRY	\$56,000.00
12/10/15	BERNEY, DONA	\$250.00
12/28/15	PREUSSE, STEPHANIE AND TIMOTHY	\$10,000.00
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02/16/16	SPENCE, DENYCE	\$12,266.75
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06/01/16	PREUSSE, STEPHANIE AND TIMOTHY	\$648.00
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10/21/16	HUTSON, EVON	\$1,300.00
10/31/16	SPITZENBERGER, LARRY	\$65.00
11/23/16	BERNEY, DONA	\$2,000.00
12/27/16	BERNEY, DONA	\$500.00
12/27/16	BERNEY, DONA	\$250.00
01/30/17	BERNEY, DONA	\$1,000.00

DATE OF APPROPRIATION	OWNER	AMOUNT OF APPROPRIATION
01/30/17	SPITZENBERGER, LARRY	\$100.00

and said appropriations were without the effective consent of said owners in that consent was induced by deception, to wit:

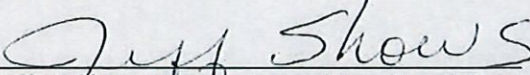
- a. Defendant created and confirmed, by words and conduct, false impressions of fact that were likely to affect the judgment of owners in the transactions that Defendant did not believe to be true; and
- b. Defendant failed to correct false impressions of fact that were likely to affect the judgment of owners in the transactions, that Defendant previously created and confirmed by works and conduct, and Defendant did not at the time believe to be true;

and said defendant acted with the intent to deprive said owners of said property by withholding said property permanently and for so extended a period of time that a major portion of the value and enjoyment of said property was lost to owners, and by disposing of said property in a manner that made recover of said property by owners unlikely;

and all said amounts were obtained pursuant to one scheme and continuing course of conduct, and the aggregate amount obtained was \$300,000.00 or more;

AGAINST THE PEACE AND DIGNITY OF THE STATE.

Date: October 15, 2021


 Jeffrey Shows, Foreperson of the Grand Jury

NO. 9788

THE STATE OF TEXAS

VS.

LARRY STEVE BASSETT

IN THE 21ST JUDICIAL

DISTRICT COURT OF

LEE COUNTY, TEXAS

CRIMINAL INDICTMENT

OFFENSE: MONEY LAUNDERING >=\$300,000

STATUTE: 34.02(e)(4)PC

DEGREE: FIRST DEGREE FELONY

ATTORNEY FOR THE STATE: GRETA CANTWELL, TEXAS SECURITIES BOARD

WITNESS FOR THE STATE: PHILLIP FUSELIER, FINANCIAL EXAMINER, ENFORCEMENT DIVISION

BOND: _____

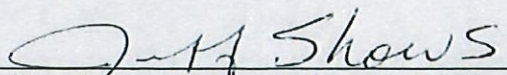
IN THE NAME AND BY AUTHORITY OF THE STATE OF TEXAS:

The Grand Jury for the County of Lee, State of Texas, duly selected, impaneled, sworn, charged and organized as such at the JULY Term, A.D. 2021, of the Twenty-First Judicial District Court of said County, upon their oaths present in and to said Court that LARRY STEVE BASSETT, on or about and between January 17, 2012, and January 30, 2017, and before the presentment of this indictment, in Lee County, Texas, and elsewhere, did then and there knowingly acquire and maintain an interest in, possess, and transfer the proceeds of criminal activity, to wit: current money of the United States of America, in the aggregated amount of \$300,000.00 or more; and said proceeds were generated from the commission of the offense of Theft of Property in the aggregated amount of \$300,000.00 or more, a felony under the laws of the State of Texas; and the commission of fraud in connection with the sale and offer for sale of securities in the aggregated amount of \$100,000.00 or more, a felony under the laws of the State of Texas;

and all said amounts were obtained pursuant to one scheme and continuing course of conduct, and the aggregate amount obtained was \$300,000.00 or more;

AGAINST THE PEACE AND DIGNITY OF THE STATE.

Date: October 15, 2021



 Jeffrey Shows, Foreperson of the Grand Jury