



DENISE VOIGT CRAWFORD
SECURITIES COMMISSIONER

DON A. RASCHKE
DEPUTY SECURITIES COMMISSIONER

Mail: P.O. BOX 13167
AUSTIN, TEXAS 78711-3167

Phone: (512) 305-8300
Facsimile: (512) 305-8310

Texas State Securities' Board

208 E. 10th Street, 5th Floor
Austin, Texas 78701-2407
www.ssb.state.tx.us

JACK D. LADD
CHAIRMAN

KENNETH W. ANDERSON, JR.
MEMBER

BRYAN K. BROWN
MEMBER

BETH ANN BLACKWOOD
MEMBER

WILLIAM R. SMITH
MEMBER

IN THE MATTER OF
THE APPLICATION FOR THE
AGENT REGISTRATION OF
COREY DWAYNE MINOR

§
§
§
§

Order No. IC06-DOR-26

TO: Corey Dwayne Minor
Emerson Equity, LLC
12700 Park Central Drive, Suite 308
Dallas, TX 75.251

ORDER OF DENIAL

Be it remembered that Corey Dwayne Minor ("Respondent"), appeared before the Securities Commissioner of the State of Texas ("Securities Commissioner") and consented to the entry of this order ("Order") and the Findings of Fact and Conclusions of Law contained herein.

FINDINGS OF FACT

1. Respondent has waived (a) Respondent's right to notice and hearing in this matter; (b) Respondent's right to appear and present evidence in this matter; (c) Respondent's right to appeal this Order; and (d) all other procedural rights granted to the Respondent by The Securities Act, TEX. REV. CIV. STAT. ANN. art. 581-1 et seq. (Vernon 1964 & Supp. 2006) ("Texas Securities Act"), and the Administrative Procedure Act, TEX. GOV'T CODE ANN. § 2001.001 et seq. (Vernon 2000 & Supp. 2006) ("Administrative Procedure Act").
2. On or about April 11, 2006, Respondent submitted an application for registration with the Securities Commissioner as an agent of Emerson Equity, LLC, a registered securities dealer, which is currently pending.
3. From on or about January 21, 2003 to on or about October 24, 2005, Respondent was registered with the Securities Commissioner as an agent of Syndicated Capital, Inc. ("Syndicated").

4. From on or about September 23, 2005 to on or about April 20, 2006, Respondent was registered with the Securities Commissioner as an agent of Synergy Investment Group, LLC ("Synergy").

From on or about March 21, 2006 to on or about March 24, 2006, the Staff conducted an inspection (the "Inspection") of Synergy's branch office that Respondent was located at and was the branch manager.

During the course of the Inspection, the Respondent repeatedly denied immediate and complete access to certain books and records related to the business activity of the Respondent and/or Synergy, and consistently avoided providing financial records related to the Respondent and/or Respondent's activity at the Synergy branch office.

Respondent's consistent avoidance in providing the financial records requested by the Staff constitutes a constructive refusal to furnish information requested by the Staff.

8. The types of financial records that the Respondent constructively refused to furnish included: bank statements; a check register; and a general ledger.
9. On or about December 8, 2004, two Texas investors (the "Investors") provided a cashier's check and a check, each payable to Christ Minor and each for Nine Thousand Four Hundred Dollars (\$9,400.00).
10. Respondent was an owner, and/or was an officer, and/or held bank accounts in the name, of several entities, including: Christ Minor, Inc.; Christ Minor Investment, LLC; Christ Minor Financial Services LLC; Christ Minor Insurance Services LLC; and Christ Minor Saxony Pershing, Inc. (collectively "Christ Minor Entities")
11. On or about December 10, 2004, the cashier's check and check provided by the Investors were deposited in a bank account belonging to Christ Minor Saxony Pershing, Inc. Respondent and Respondent's wife were the only signatories on the account.
12. On or about April 3, 2006, Respondent provided the Staff, via facsimile, a document (the "Check Register") purporting to reflect the activity in an account belonging to Christ Minor at State Bank and Trust. The Check Register stated that on December 14, 2004, two checks, numbered 2014 and 2015, were made to a payee, Life-Line, in the amounts of Nine Thousand Four Hundred Dollars (\$9,400.00) each, on behalf of each of the Investors.
13. No payments to a payee named Life-Line were made on behalf the Investors in or about December 2004 from an account at State Bank and Trust attributable to any of the Christ Minor Entities.

