



Texas State Securities Board
P.O. Box 13167
Austin, Texas 78711-3167

Renewal Application for Mutual Funds and Other Continuous Offerings

Must be submitted with the applicable renewal fee.

[This form must be filed at least one month before the expiration of a current permit.]

1. Name of Issuer. This name must be the same as that shown on Texas permit: _____

2. Principal address of Issuer: _____

3. Correspondent: _____
Firm: _____
Address: _____

Telephone: () _____
4. Describe any legal or administrative proceedings of a material nature that have been instituted in which the Issuer, its advisor, or any affiliate was named as a party. If you have not previously notified the State Securities Board of such proceedings, please attach a summary or copy of the pleadings. _____

Form
133.12

The undersigned certifies that he or she is an authorized representative of the above-named registrant, having full authority in said capacity to agree to the following undertakings as evidenced by his or her signature below in consideration of a renewed registration. The registrant agrees:

- (1) to file one copy of each new, revised, or amended prospectus as soon as it is available, with such copy marked to show changes;
- (2) to continue to file single copies of all advertising literature as required by the Texas Securities Act, Section 22;
- (3) to continue to file sales reports of securities sold and to maintain an adequate authorization based upon the rate of sales in Texas;
- (4) to terminate security sales immediately if a licensed Texas dealer is no longer authorized to make sales; and
- (5) to notify the State Securities Board immediately of any material adverse change in the registrant's plan of business or financial condition.

The undersigned further certifies that unless the State Securities Board has been notified otherwise, no legal or administrative proceedings of a material nature have been instituted in which the Issuer, its advisor, or any affiliate thereof was a party since publication of the Issuer's most recent prospectus.

The undersigned and the Registrant (if different from the undersigned) acknowledge that making a false or misleading statement in a document filed with the Securities Commissioner or submitting false or misleading information or omitting pertinent or material information in connection with any document filed with the Commissioner may constitute a violation of The Texas Securities Act, be grounds for discipline under The Texas Securities Act, and may subject the undersigned and/or the Registrant to other legal sanctions, including administrative, civil or criminal penalties, including being deemed guilty of a felony of a third degree as provided in Section 29.E of The Texas Securities Act.

The undersigned certifies that she/he has executed this renewal application on behalf of, and with the authority of the Registrant. The undersigned and the Registrant represent that we have read this renewal application, that we understand it and are familiar with its contents, and that the information and statements therein are current, true and complete to the best of our knowledge, information and belief and that any documents submitted with this renewal application are true copies of the originals. The undersigned and the Registrant further represent that to the extent any information previously submitted is not amended, such information is accurate and complete.

11-12-2019

Form
133.12

I declare under penalty of perjury under the laws of Texas that the foregoing is true and correct.

Executed the ___ day of _____, 20__.

By:

Signature

Printed name

Title

This page intentionally left blank.