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IN THE MATTER OF THE APPLICATION
FOR INVESTMENT ADVISER REPRESENTATIVE
REGISTRATION OF
KEITH MURELL HUBER, JR. §
§
§
§

Order No. IC06-CAF-35

TO: Keith Murell Huber, Jr. (CRD No. 1621675)
Huber, Cardono & Moring, L.P.
3700 Buffalo Speedway, Suite 420
Houston, TX 77098

DISCIPLINARY ORDER

Be it remembered that Keith Murell Huber, Jr. ("Respondent"), appeared before the Securities Commissioner of the State of Texas ("Securities Commissioner") and consented to the entry of this order ("Order") and the Findings of Fact and the Conclusions of Law contained herein.

FINDINGS OF FACT

1. Respondent has waived (a) Respondent's right to notice and hearing in this matter; (b) Respondent's right to appear and present evidence in this matter; (c) Respondent's right to appeal this Order; and (d) all other procedural rights granted to Respondent by The Securities Act, TEX. REV. CIV. STAT. ANN. art. 581-1 et seq. (Vernon 1964 & Supp. 2006) ("Texas Securities Act") and the Administrative Procedure Act, TEX. GOV'TCODE ANN. § 2001.001 et seq. (Vernon 2000 & Supp. 2006) ("Administrative Procedure Act").
2. From on or about December 12, 1996 to the present, Huber, Cardono & Moring, L.P. ("HC&M") has been registered under the Investment Advisers Act of 1940 with the U. S. Securities and Exchange Commission as an investment adviser and is a federal covered investment adviser.
3. From on or about February 24, 1997, HC&M has been notice-filed with the Securities Commissioner.
4. From on or about February 24, 1997 to on or about December 31, 2001, Respondent was registered with the Securities Commissioner as an investment adviser representative for HC&M.

